

Intertwined order in the cuprate high-temperature superconductors

Steven Johnston^{1,2}

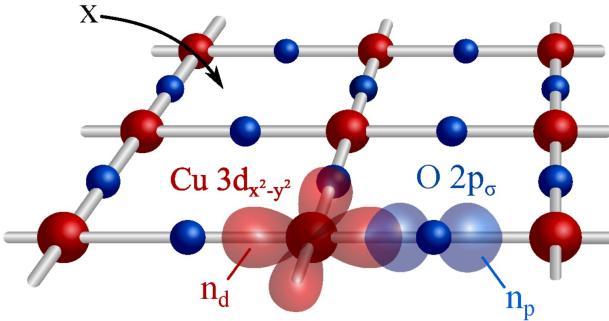
¹Department of Physics & Astronomy, The University of Tennessee, Knoxville

²Institute for Advanced Materials and Manufacturing at the University of Tennessee



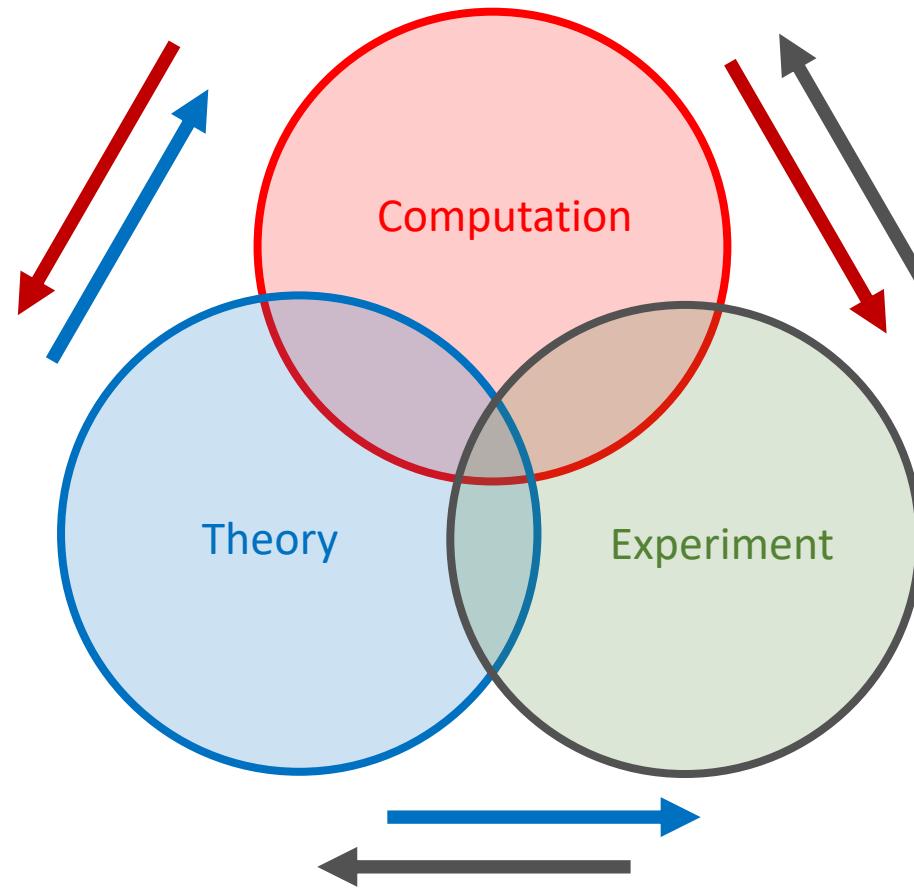
U.S. DEPARTMENT OF
ENERGY

My research program



Studying models for strongly correlated quantum materials:

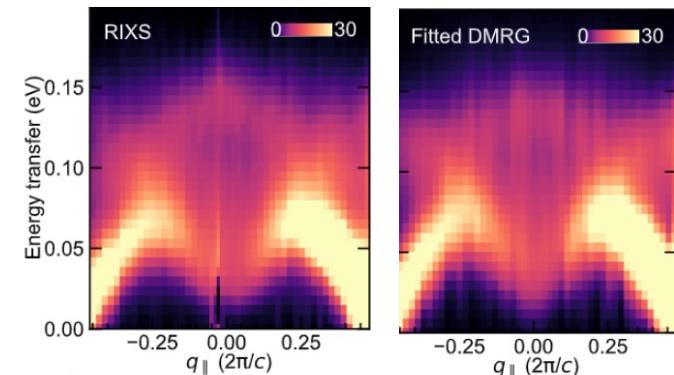
- high- T_c superconductors.
- correlated systems.
- low-dimensional materials.
- e-ph interactions.
- theory of spectroscopy.



Employ nonperturbative numerical methods: ED, determinant & hybrid QMC, DMRG, VMC. Major users of leadership-class computing.

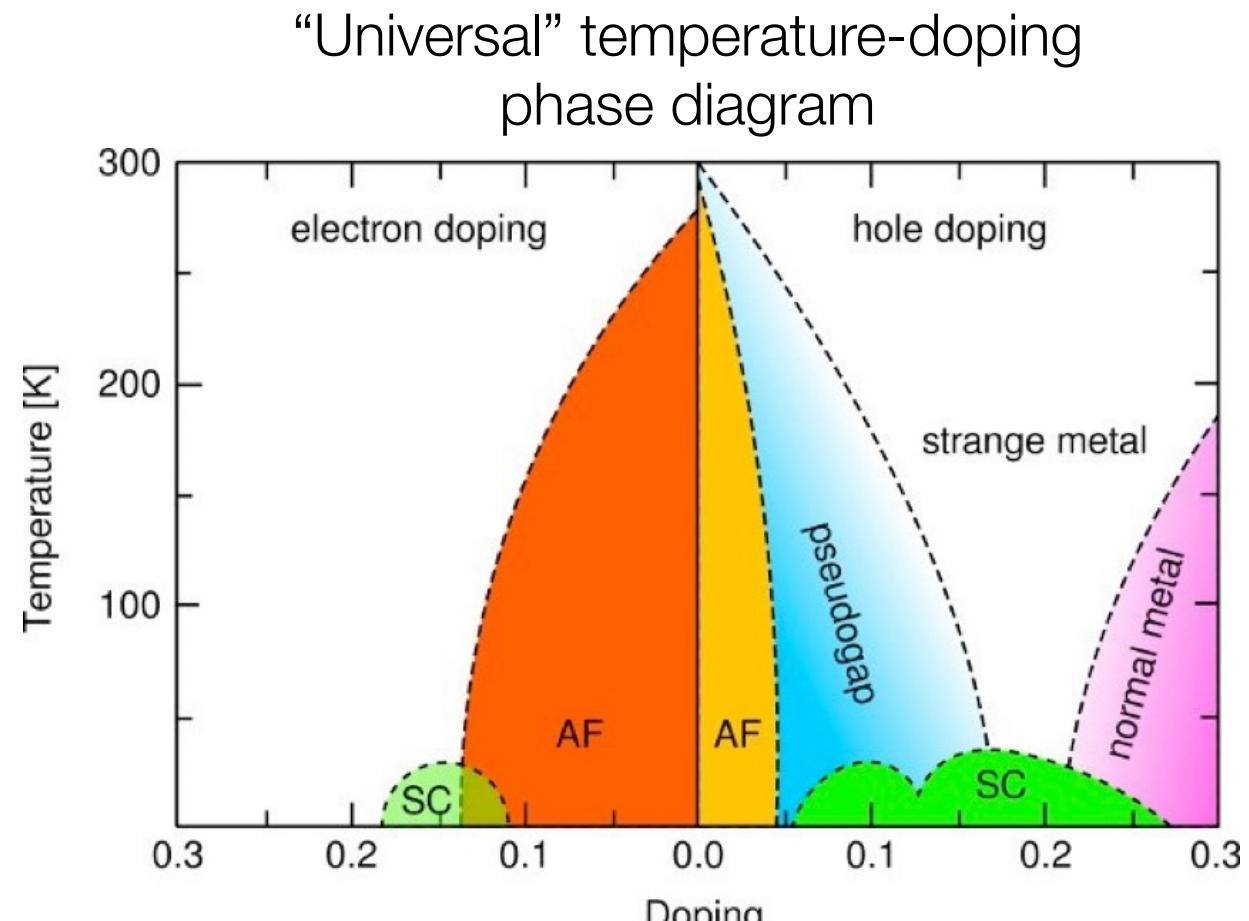
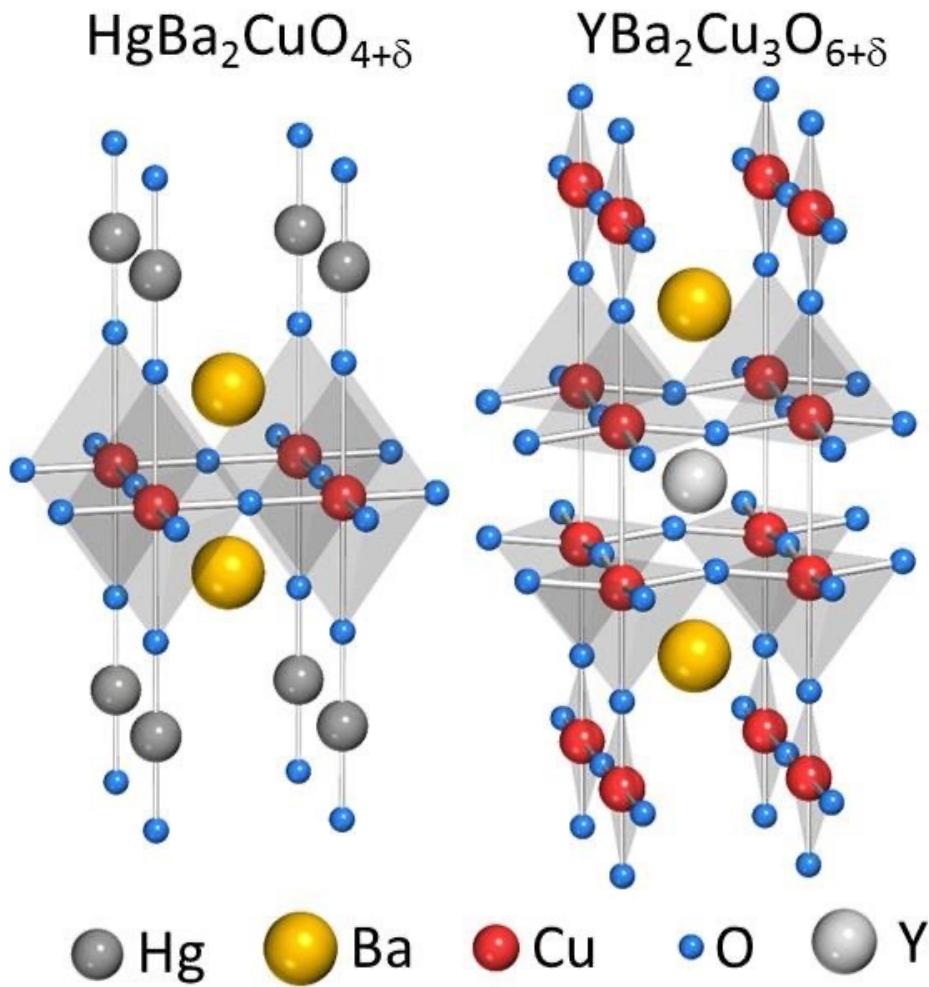


Actively collaborating with experimental groups: e.g. ARPES, STM/STS, INS, RIXS.



Members: 5+5 PhD, 2 MS, 3+1 Post-docs (past + present); Support from DOE, NSF, ONR

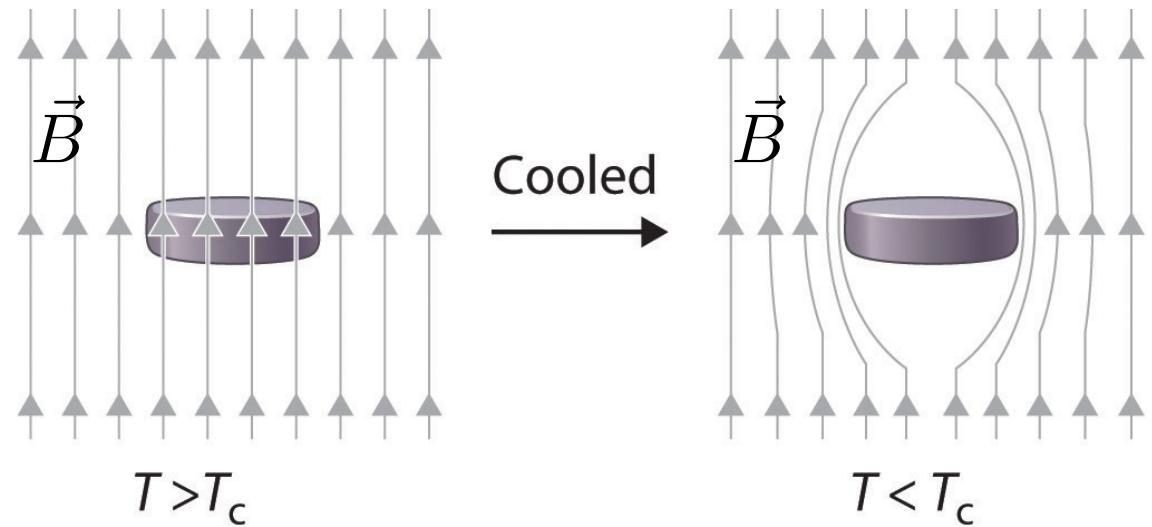
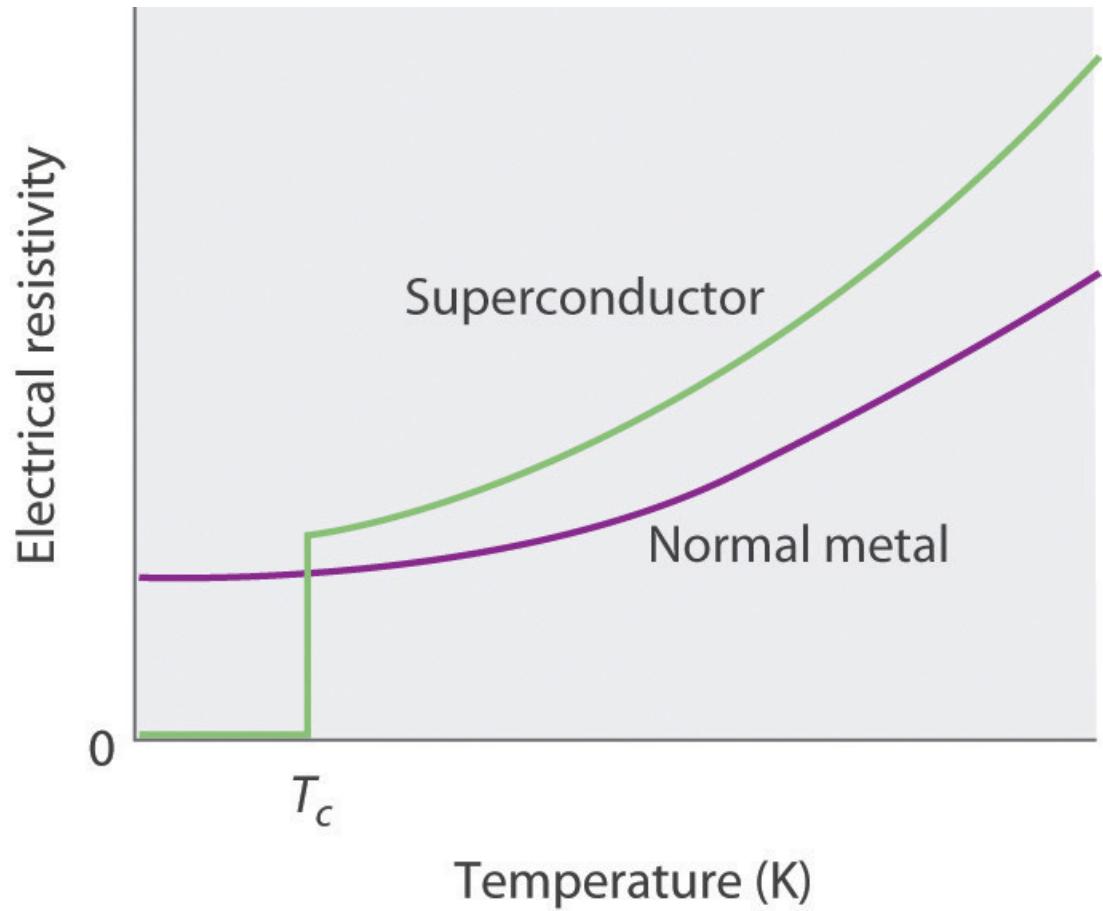
The high- T_c superconducting cuprates

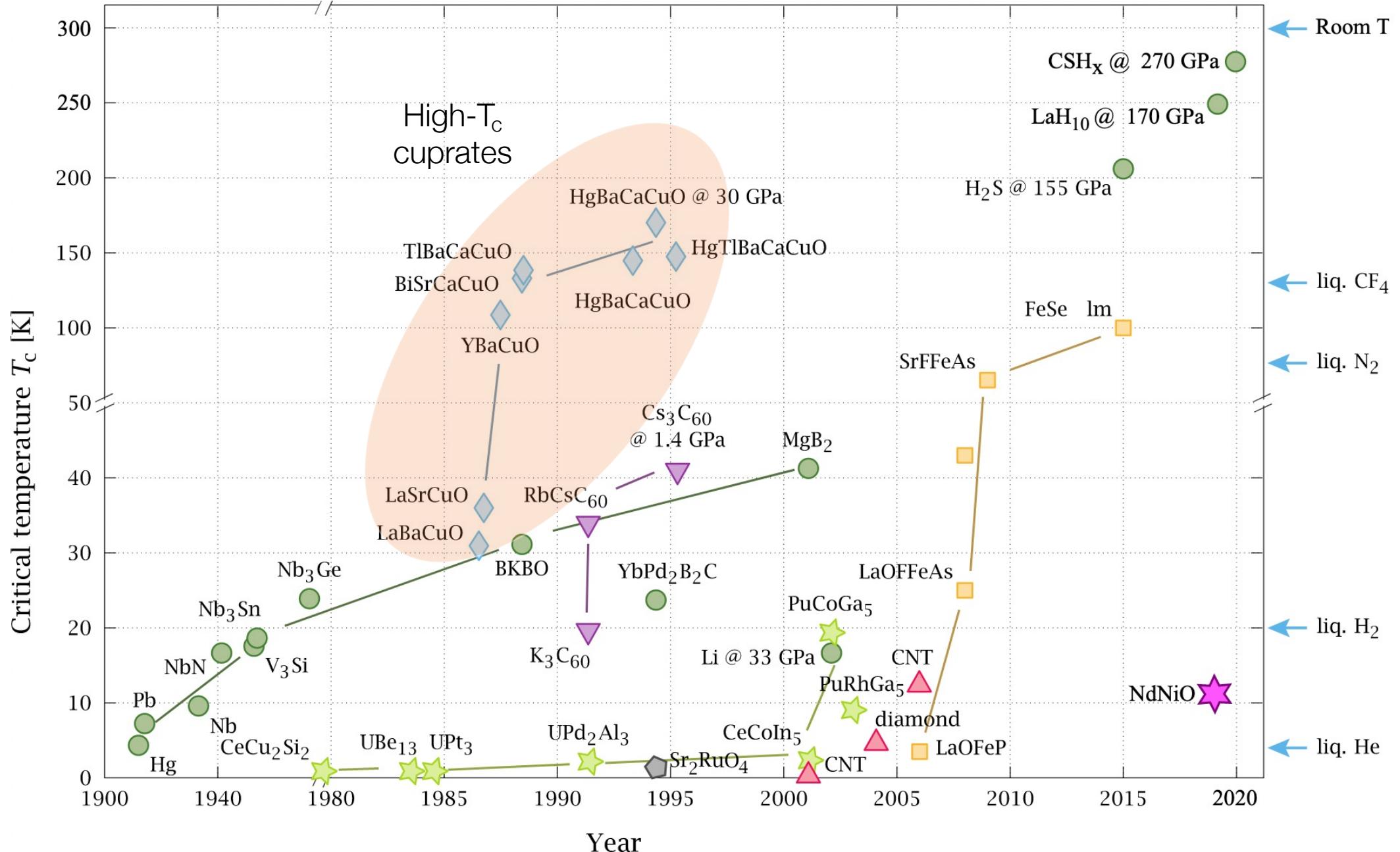


*E. Dagotto, Science 308, 5732 (2005).

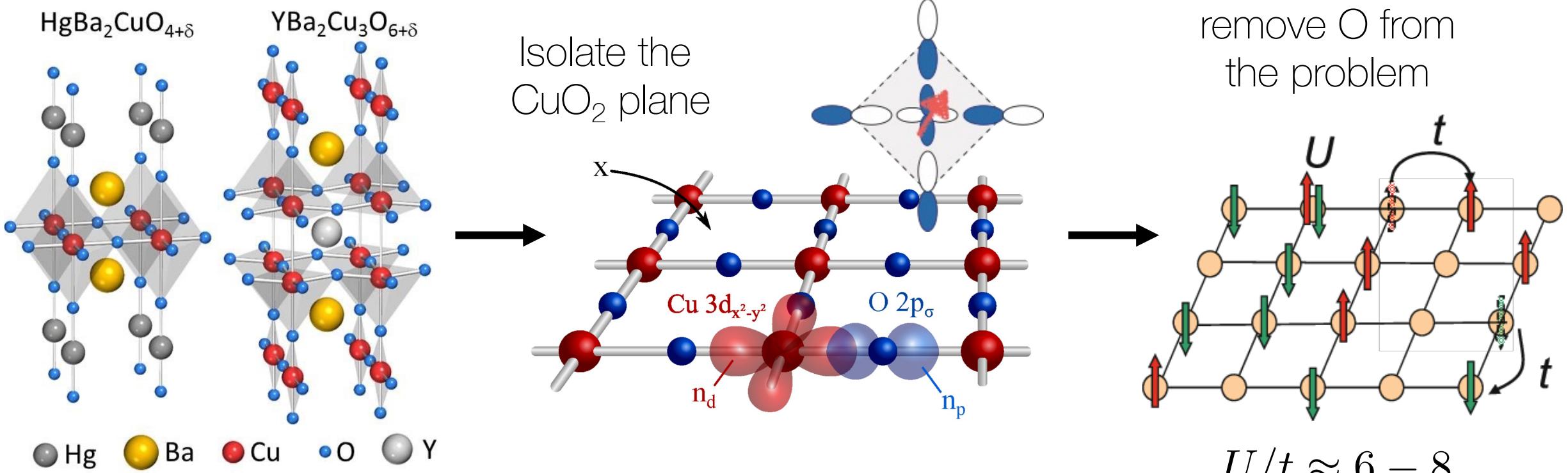
*Reichardt et al., Condens. Matter 3, 23 (2018).

Superconductivity





From the real material to the Hubbard model



$$H = - \sum_{\alpha=1}^{N_a} \frac{\hbar^2 \nabla_{\alpha}^2}{2M_{\alpha}} + \frac{1}{2} \sum_{\alpha \neq \alpha'} \frac{Z_{\alpha} Z_{\alpha'} e^2}{|\mathbf{R}_{\alpha} - \mathbf{R}_{\alpha'}|}$$

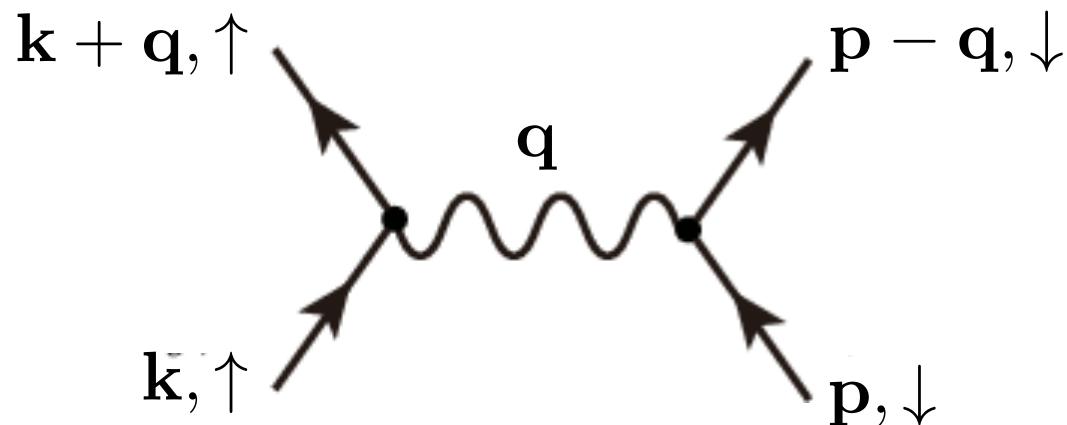
$$- \sum_{\mu=1}^{N_e} \frac{\hbar^2 \nabla_{\mu}^2}{2m} + \frac{1}{2} \sum_{\mu \neq \mu'} \frac{e^2}{|\mathbf{r}_{\mu} - \mathbf{r}_{\mu'}|} - \sum_{\mu, \alpha} \frac{Z_{\alpha} e^2}{|\mathbf{R}_{\alpha} - \mathbf{r}_{\mu}|}$$

$$H = - \sum_{\mathbf{i}, \mathbf{j}, \sigma} t_{ij} \left(c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.} \right) - \mu \sum_{\mathbf{i}, \sigma} n_{i\sigma} + U \sum_{\mathbf{i}} n_{i\uparrow} n_{i\downarrow}.$$

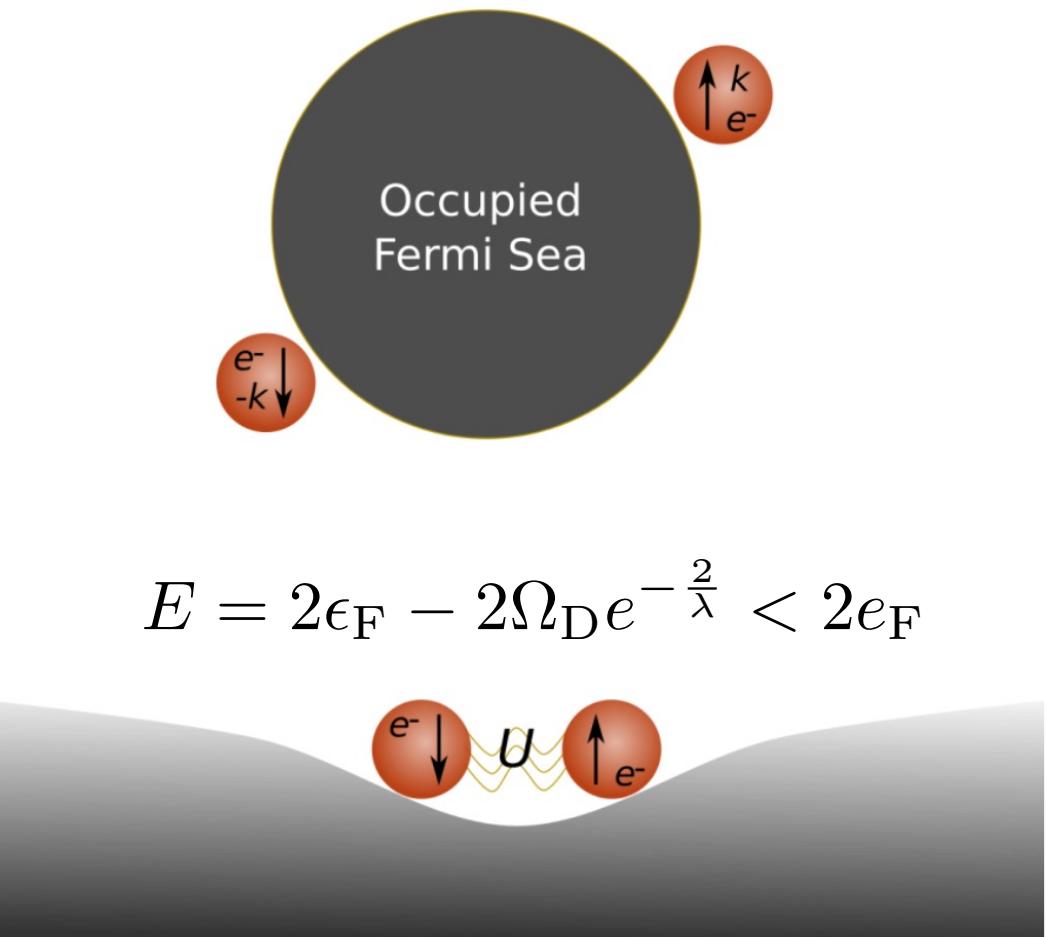
Images from Reichardt *et al.*, Condens. Matter 3, 23 (2018).

Superconductivity: Cooper pairing

The electron-phonon interaction mediates an effective attractive interaction between electrons.



Leon Cooper* showed that two electrons above the Fermi sea will form a bound state.



$$E = 2\epsilon_F - 2\Omega_D e^{-\frac{2}{\lambda}} < 2e_F$$

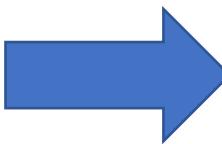
* L. N. Cooper, Phys. Rev. 104, 1189 (1956).

Superconductors: coherent states of Cooper pairs

The “normal” state (a metal)

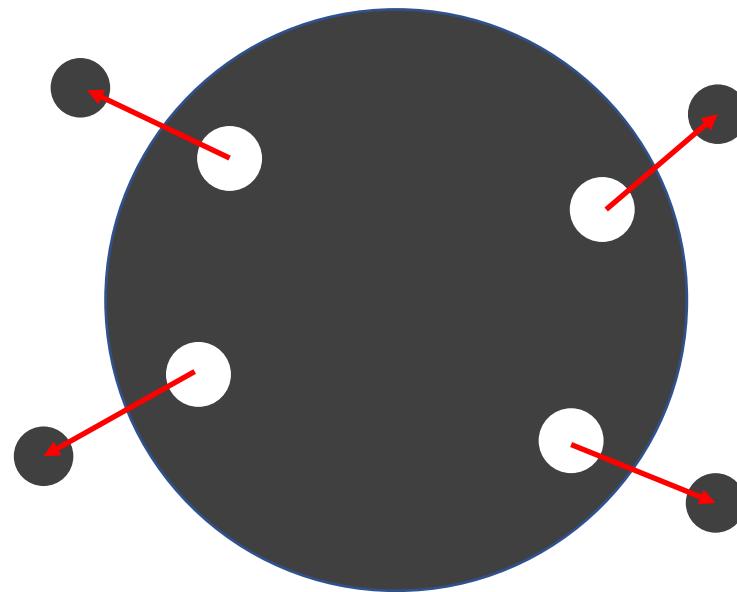


$|FS\rangle$



cool
below
 T_c

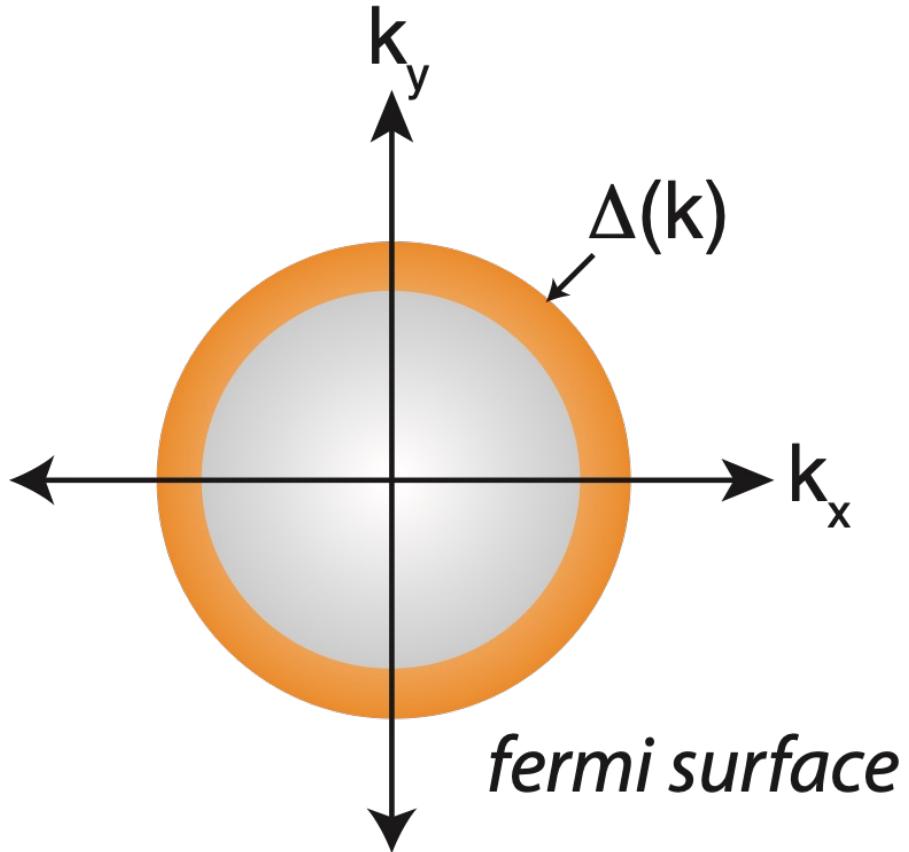
The superconducting state



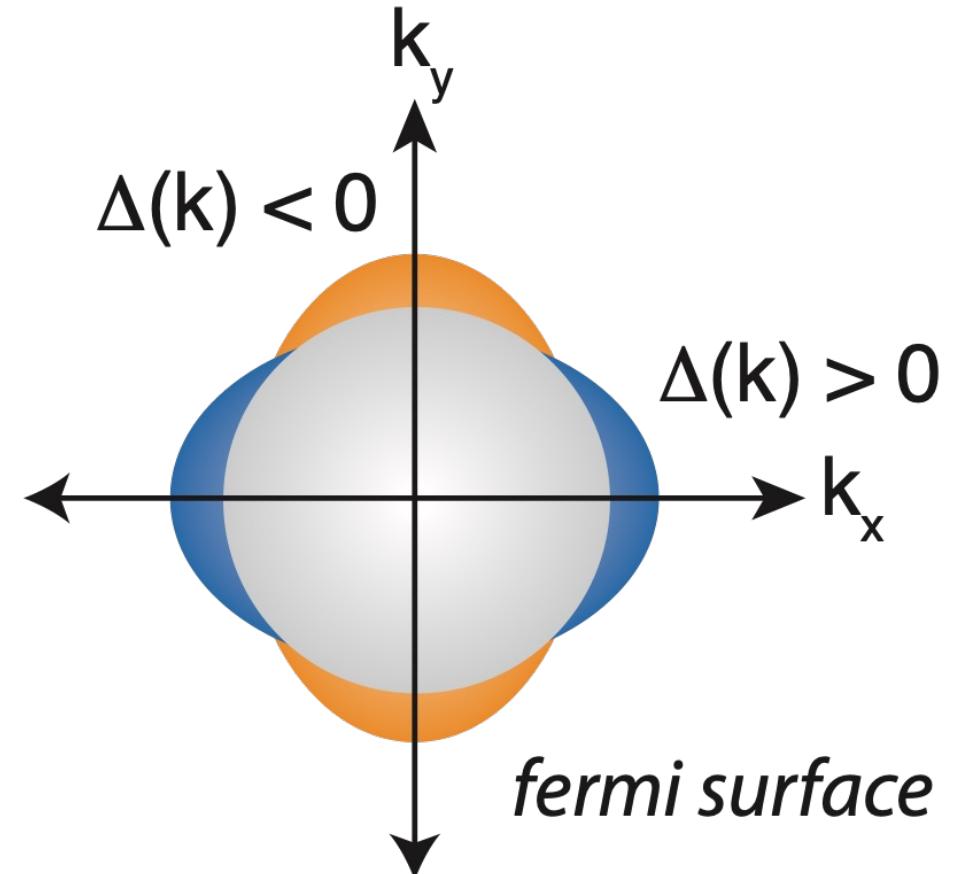
$$\begin{aligned} |\Psi_{\text{BCS}}\rangle &= \prod_{k>k_F} \left(u_{\mathbf{k}} + v_{\mathbf{k}} c_{\mathbf{k},\uparrow}^\dagger c_{-\mathbf{k},\downarrow}^\dagger \right) \\ &\times \prod_{k<k_F} \left(u_{\mathbf{k}} c_{-\mathbf{k}} c_{\mathbf{k},\uparrow} + v_{\mathbf{k},\downarrow} \right) |FS\rangle \end{aligned}$$

The superconducting gap symmetry

Attractive interaction (e.g. phonons)
s-wave gap symmetry

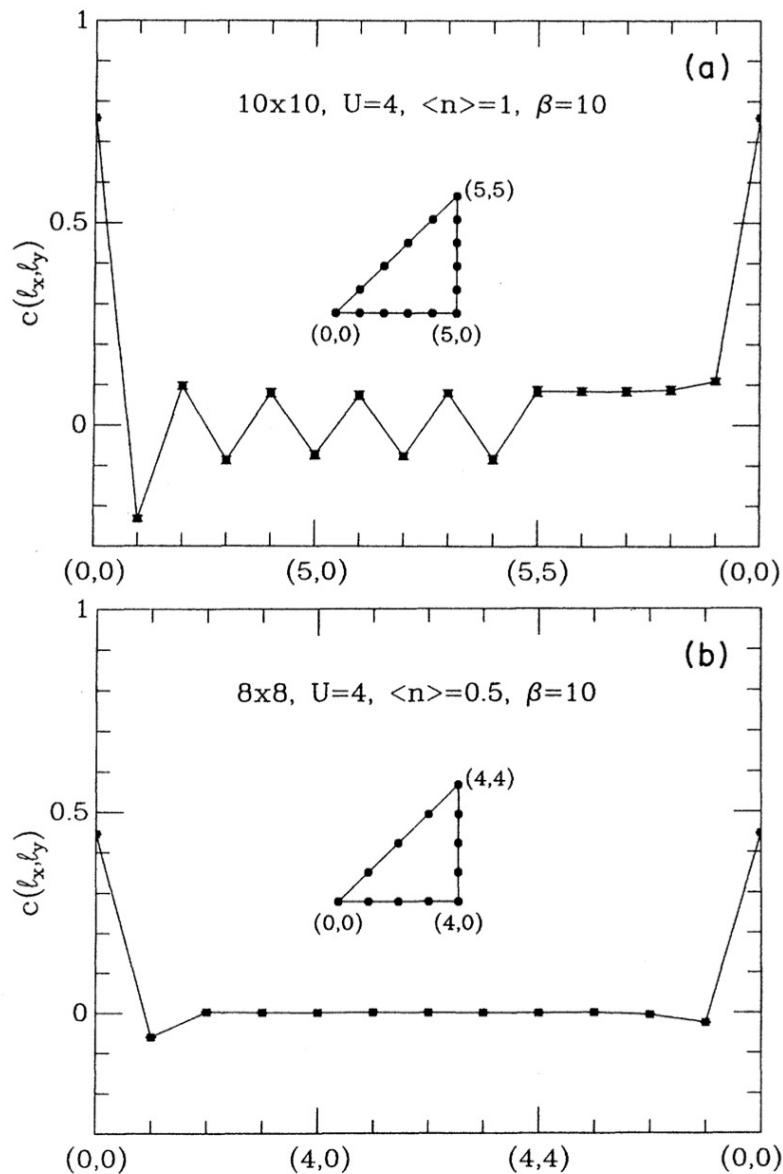
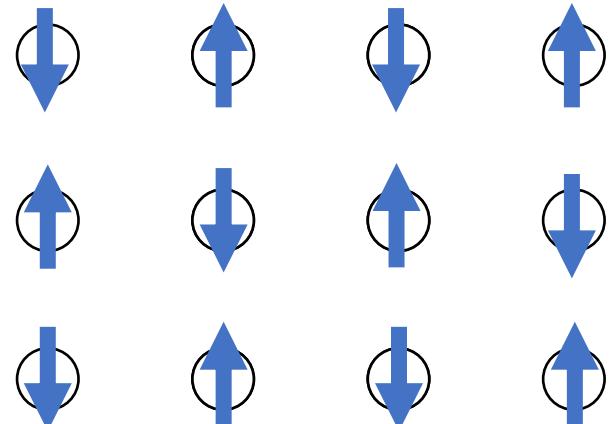
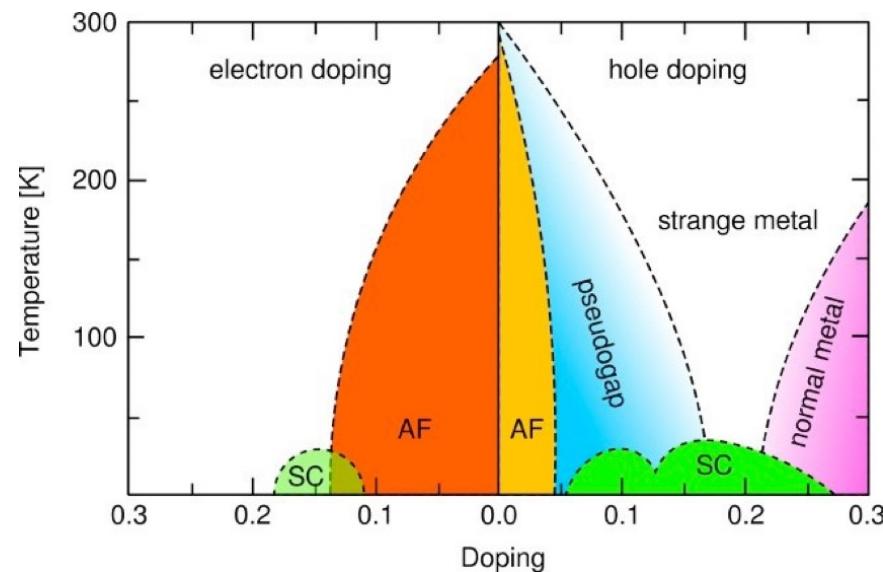


Repulsive interaction (e.g. magnons)
d-wave gap symmetry



What is the pairing mechanism in the cuprate superconductors?

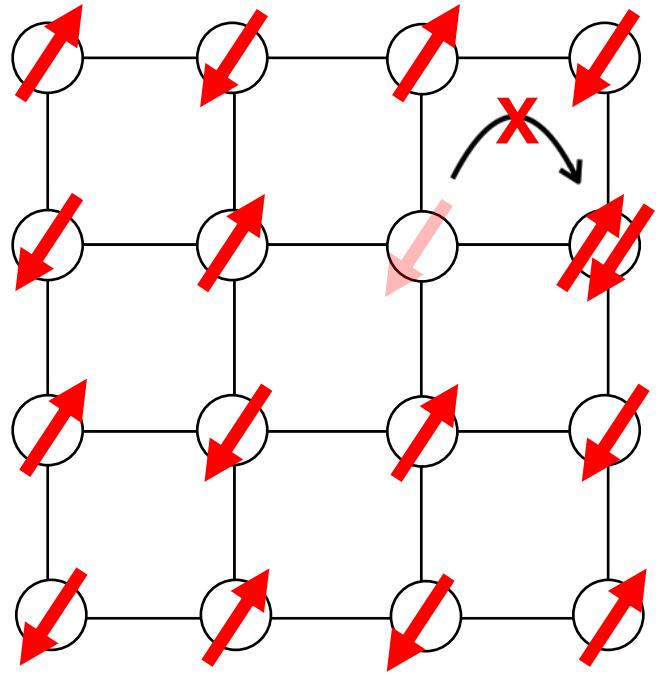
Early picture: doping a Mott insulator



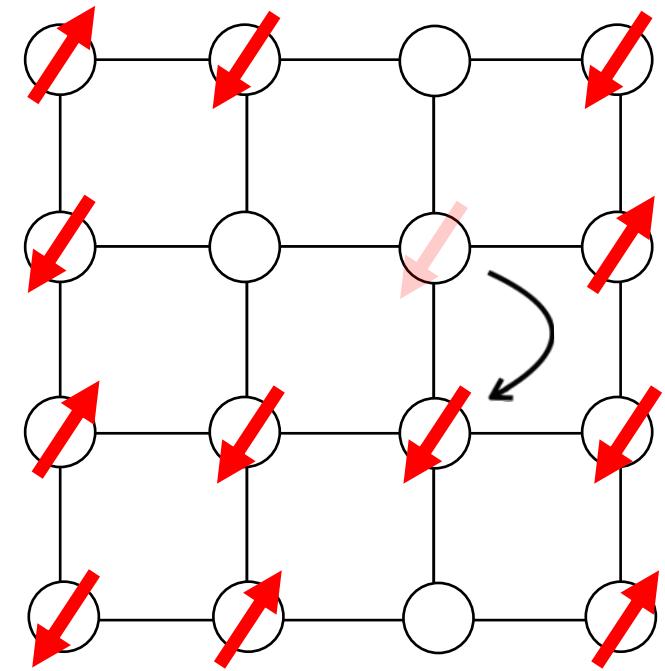
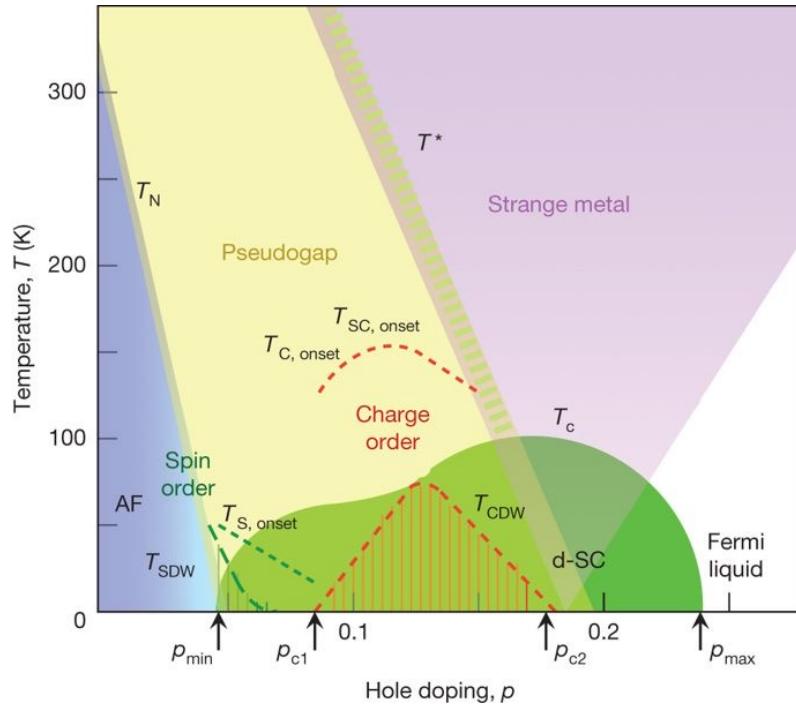
Early DQMC
simulations

*S.R. White *et al.*,
Phys. Rev. B 40,
506 (1989).

Balancing energies in the cuprates



No doped holes:
1 carrier / Cu, AFM Insulator



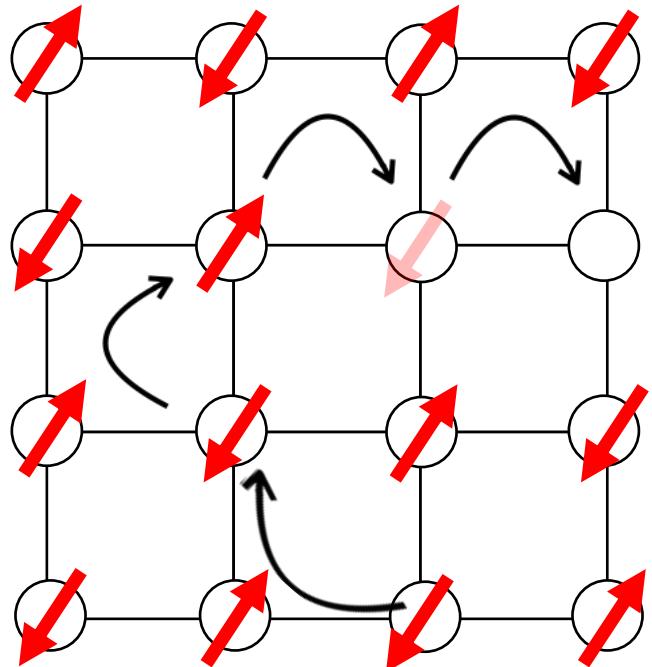
Many doped holes:
Good conduction

↔

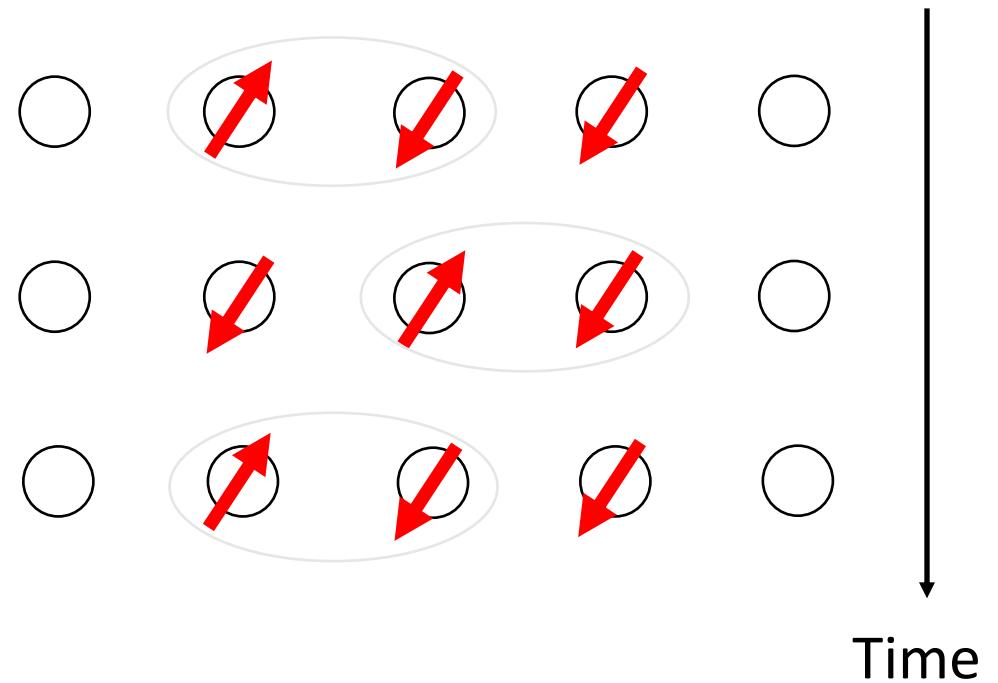
How do we move from one scenario to the other?

Balancing energies in the cuprates

Only Correlated/Collective motion is allowed.



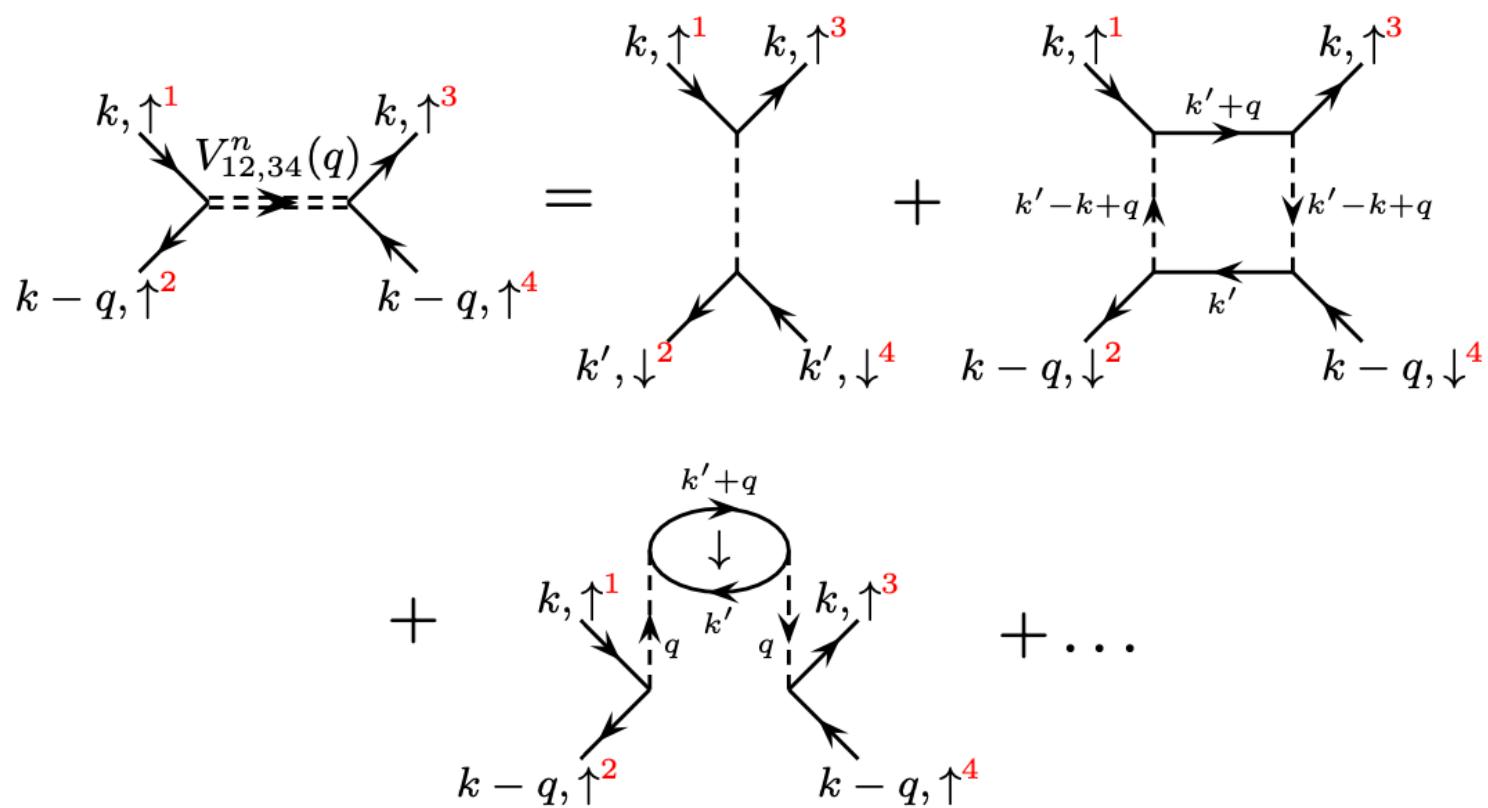
Pairing somehow emerges at small to intermediate doping levels.



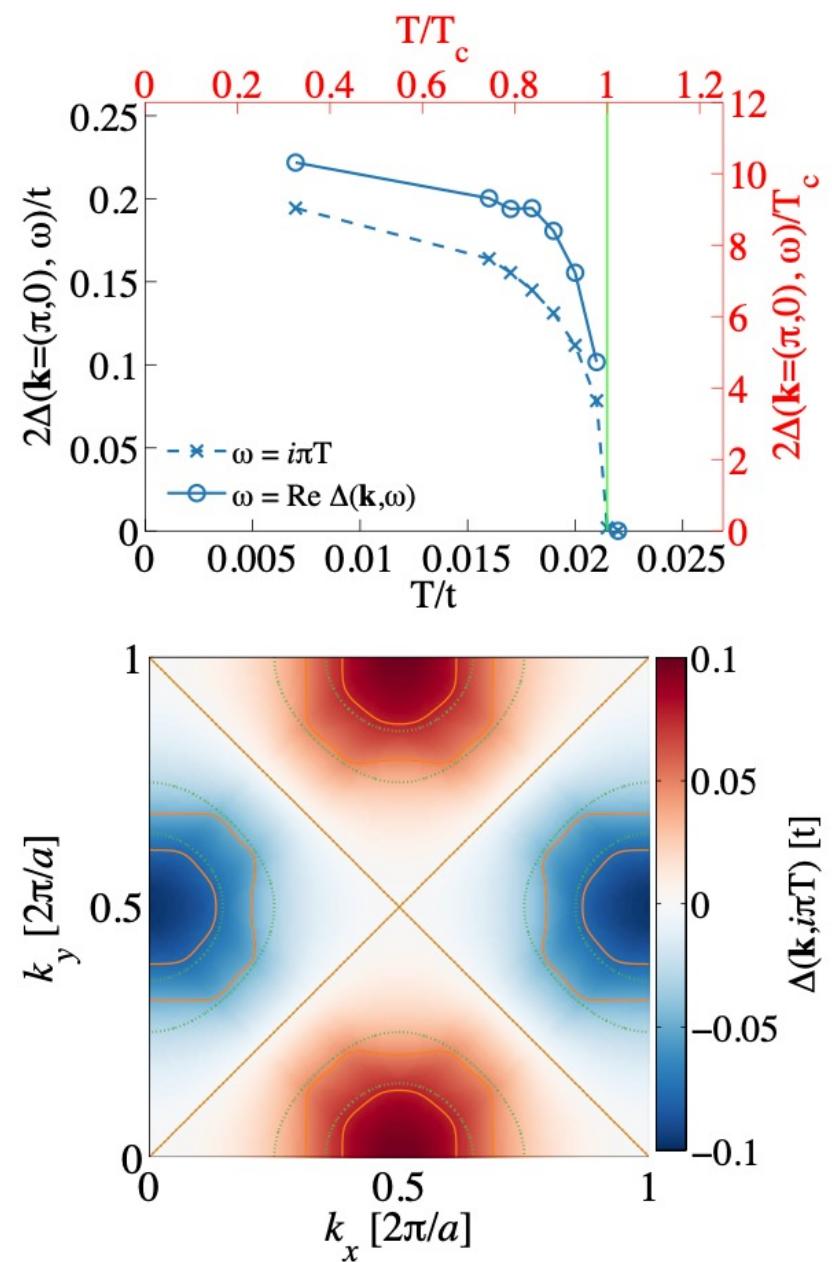
Understanding the spin and charge dynamics in this regime is crucial for understanding superconductivity!

Early picture: doping a Mott insulator

The analog of the Cooper problem, exchange of a spin fluctuations

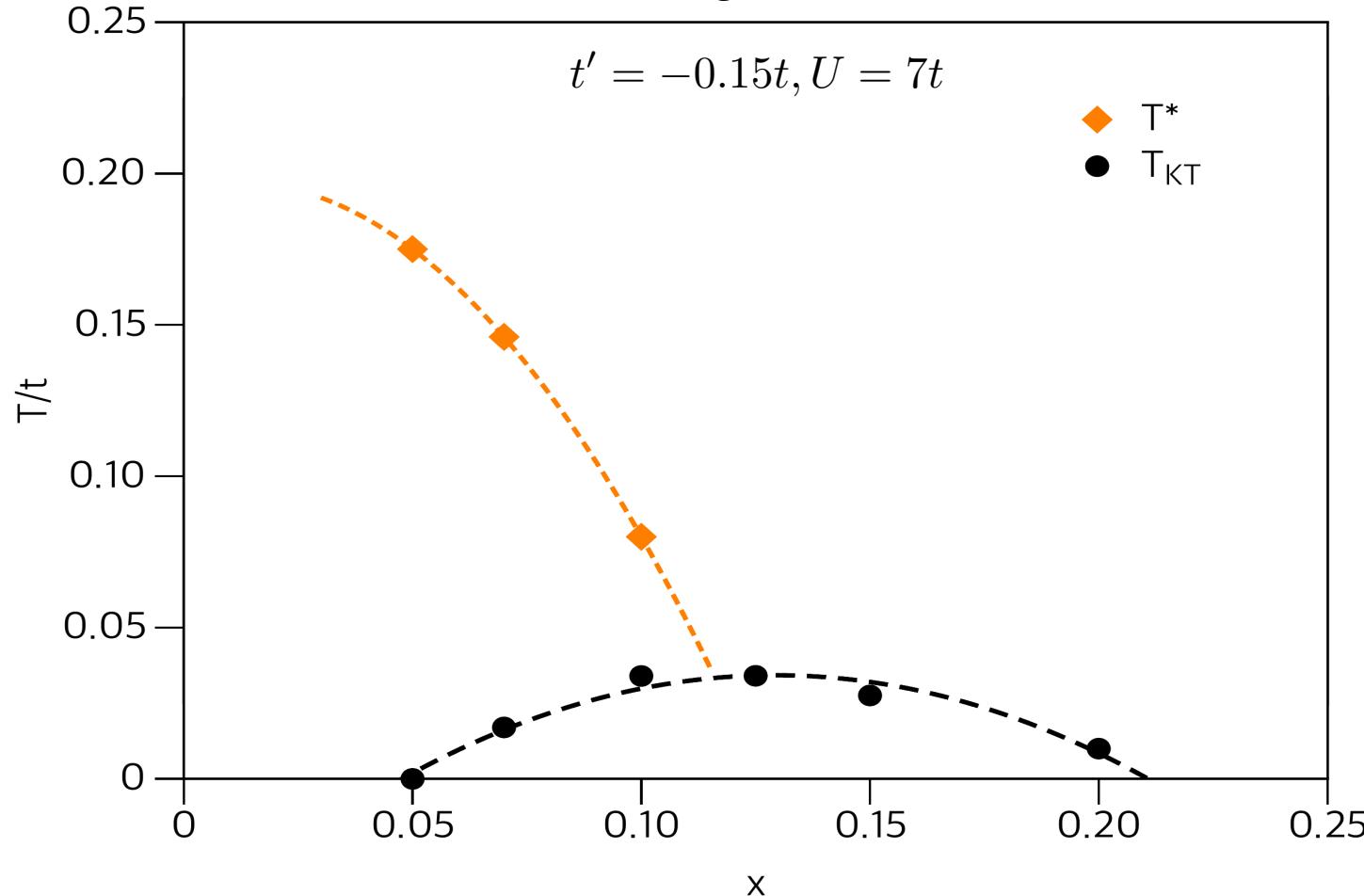


*P. Monthoux and D. J. Scalapino, Phys. Rev. Lett., 72, 1874 (1994).



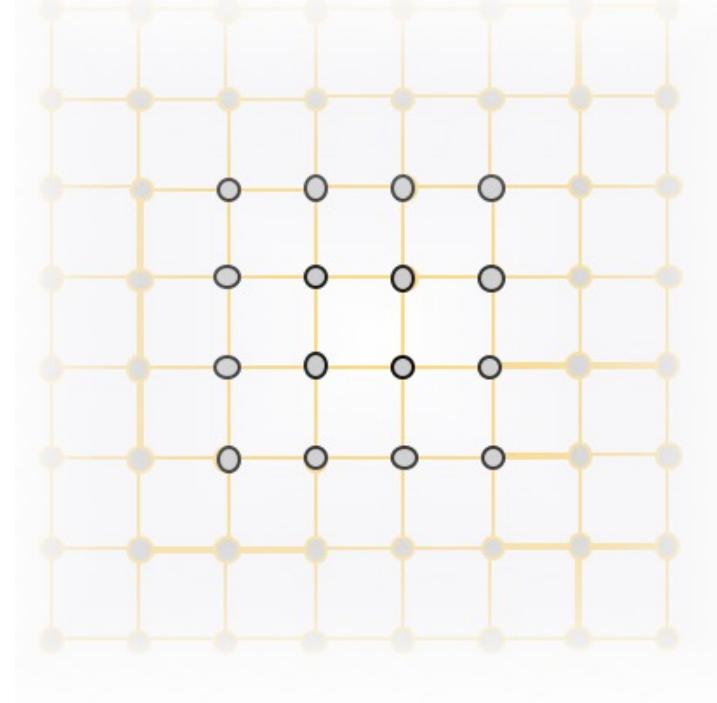
Superconductivity in embedded cluster methods

DCA Phase Diagram, 12 site cluster



*T. A. Maier *et al.*, PRL 95, 237001 (2005).

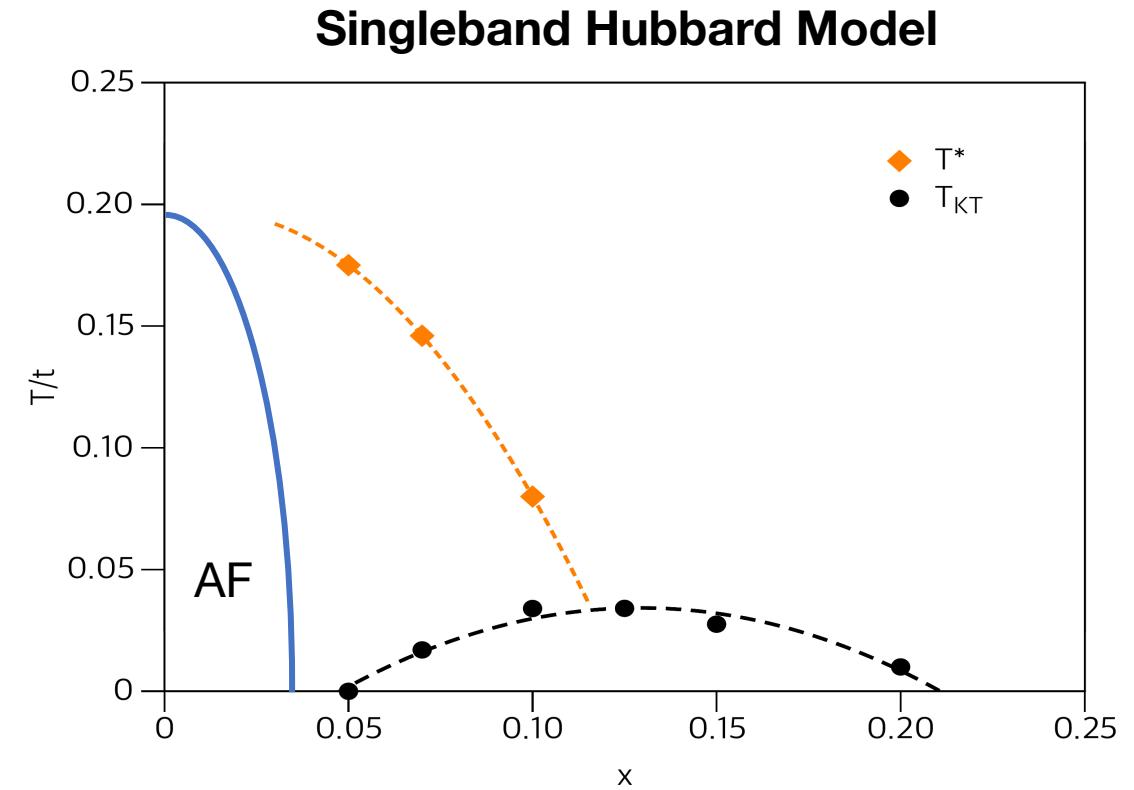
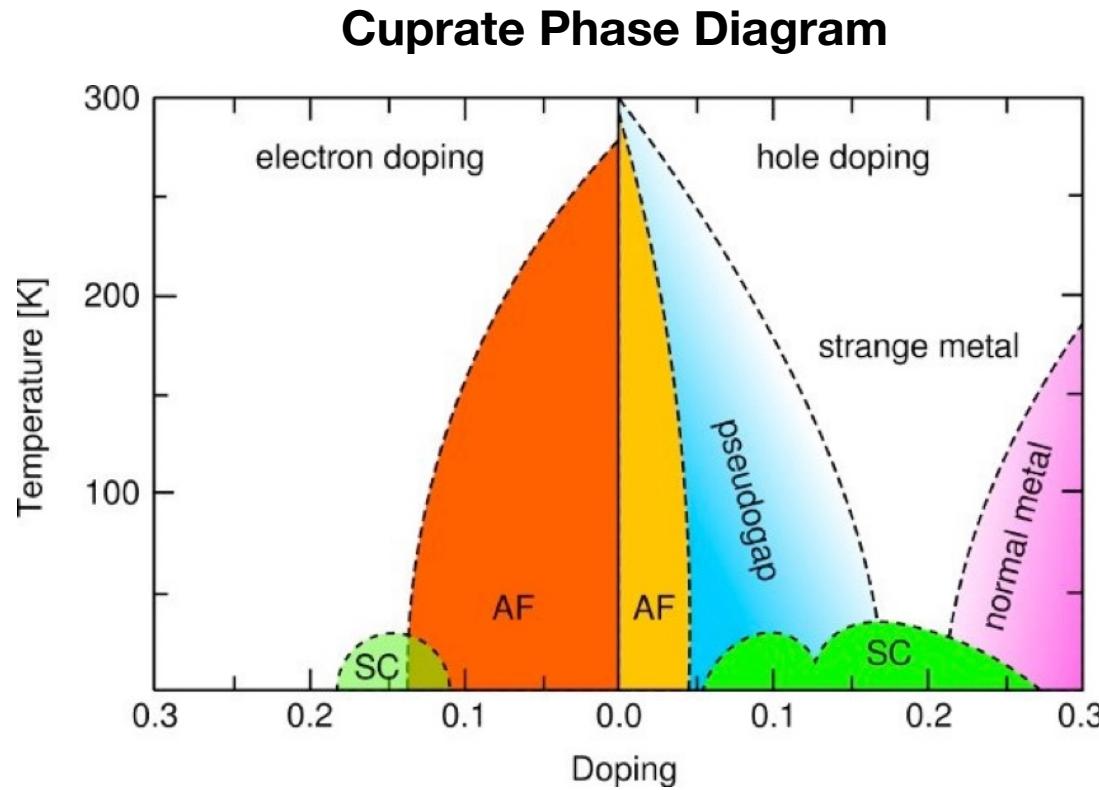
DCA: finite cluster in a mean-field



Robust d-wave superconductivity

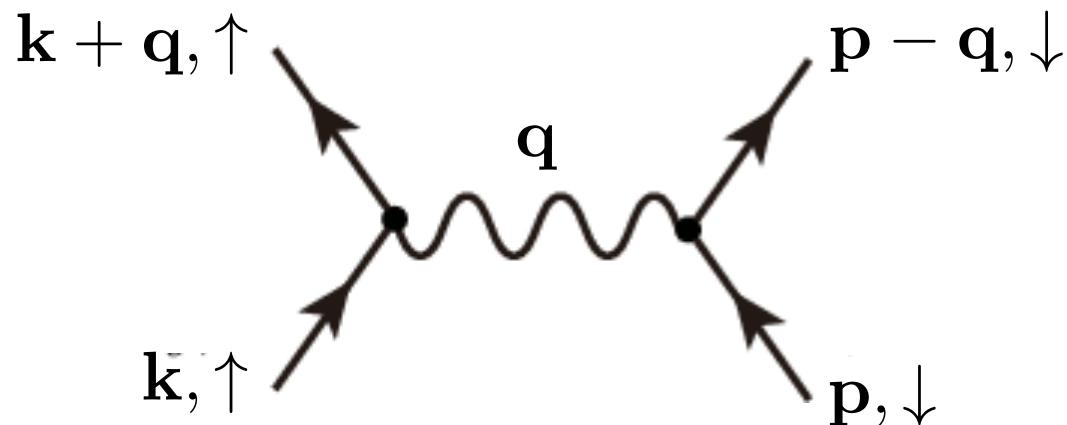
- In clusters up to 30 sites.
- Max $T_c/t \sim 0.025 - 0.05$, depending on model parameters.

Mission Accomplished!

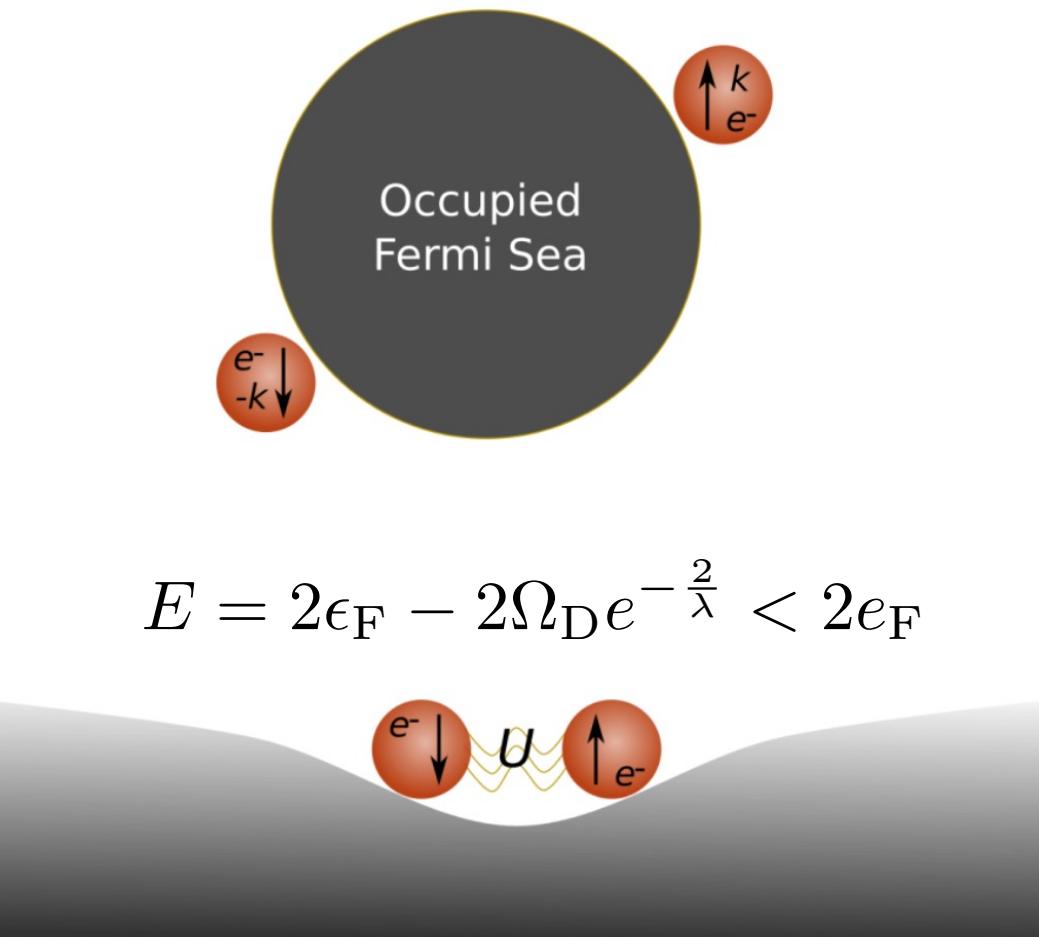


Superconductivity: Cooper pairing

The electron-phonon interaction mediates an effective attractive interaction between electrons.



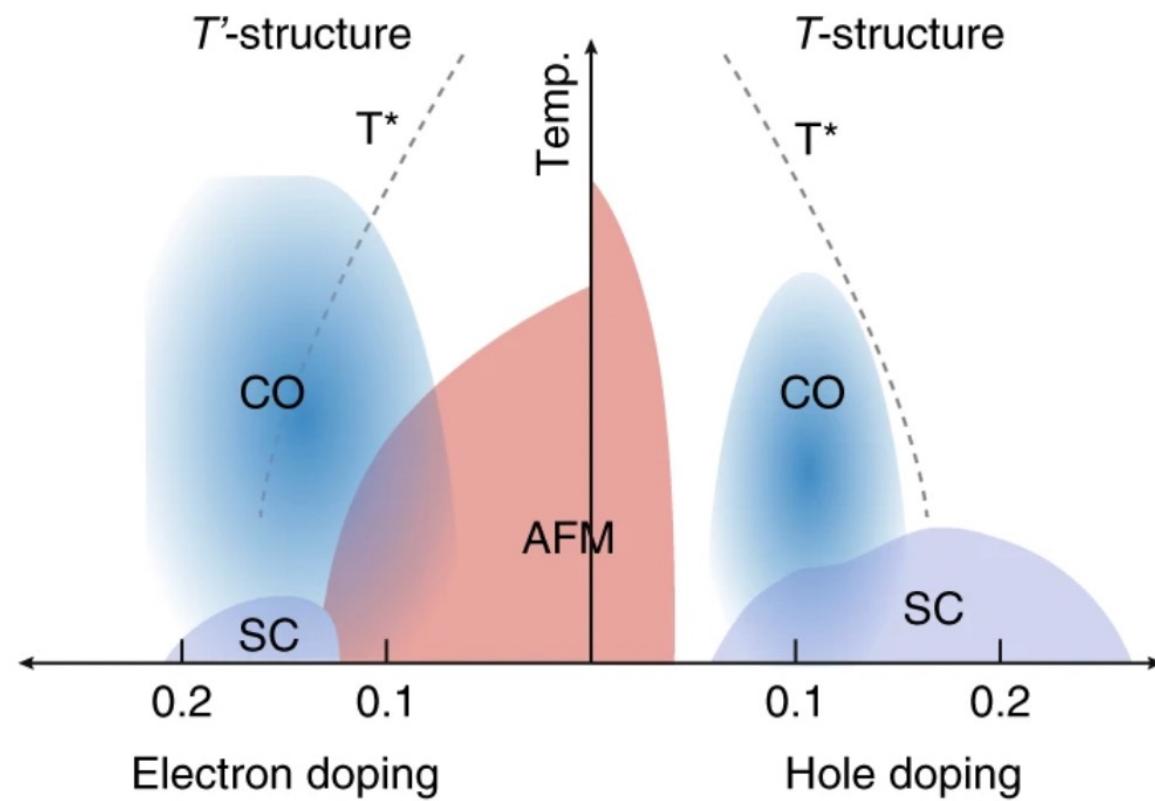
Leon Cooper* showed that two electrons above the Fermi sea will form a bound state.



$$E = 2\epsilon_F - 2\Omega_D e^{-\frac{2}{\lambda}} < 2e_F$$

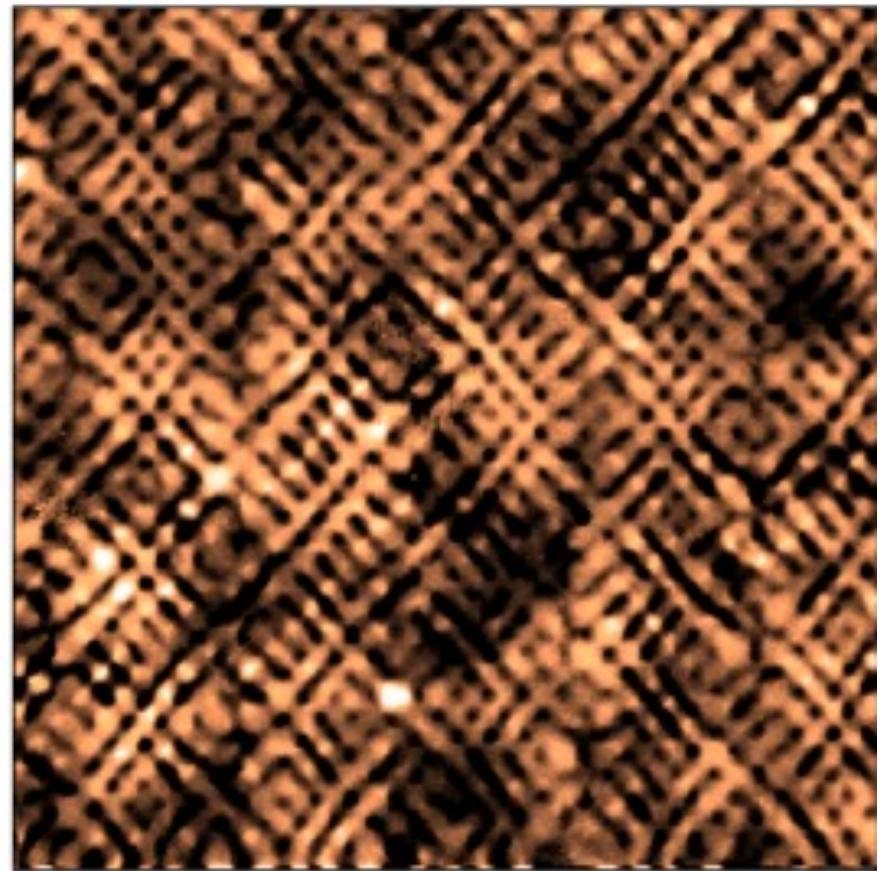
* L. N. Cooper, Phys. Rev. 104, 1189 (1956).

The high- T_c cuprates today



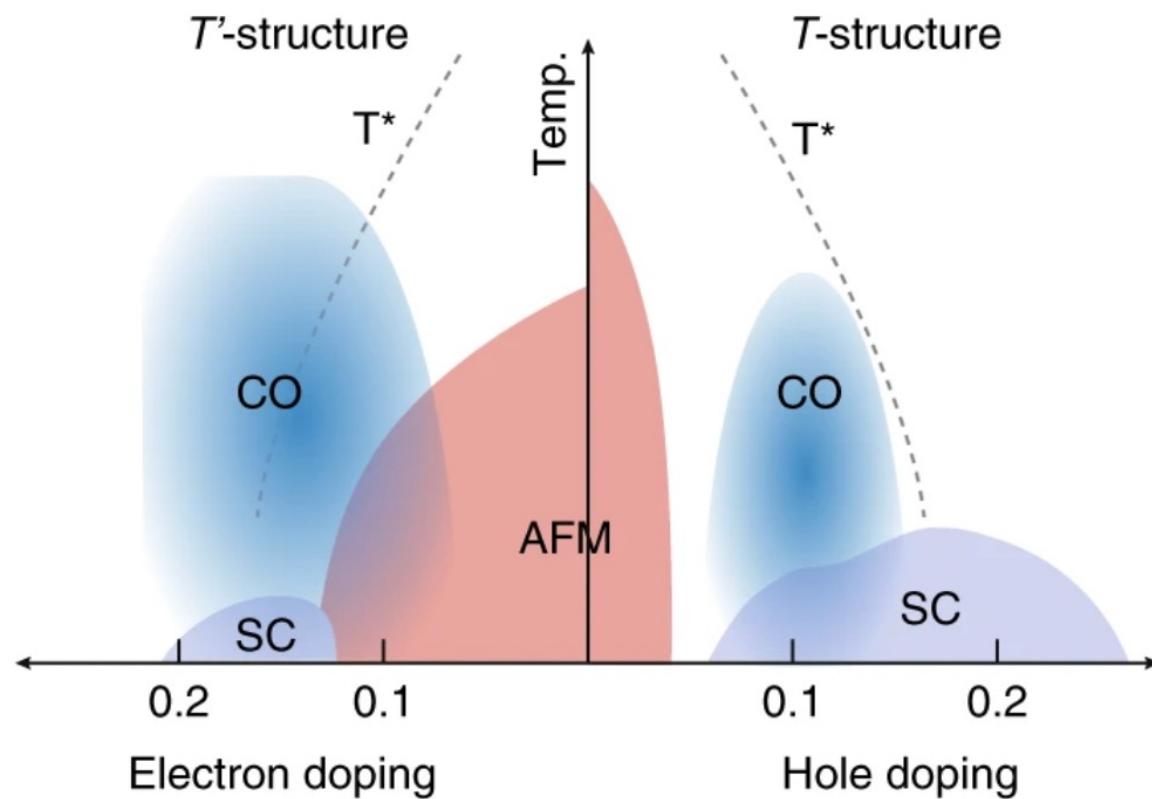
*Kang *et al.*, Nature Physics 15, 335
(2015).

$\text{Bi}_{2.2}\text{Sr}_{1.8}\text{Ca}_{0.8}\text{Dy}_{0.2}\text{Cu}_2\text{O}_y$

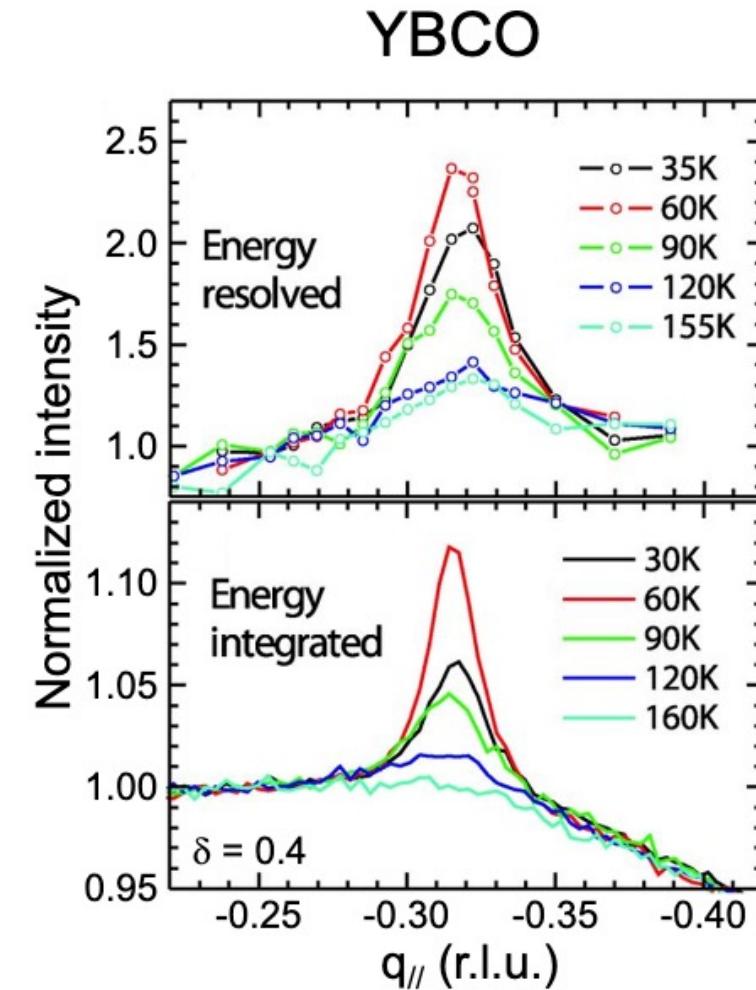


*J. E. Hoffman *et al.*, Science 295, 466
(2002), and many others!

The high- T_c cuprates today



*Kang *et al.*, Nature Physics 15, 335
(2015).



Review, see: R. Arpaia and G. Ghiringhelli,
J. Phys. Soc. Jpn. 90, 111005 (2021)

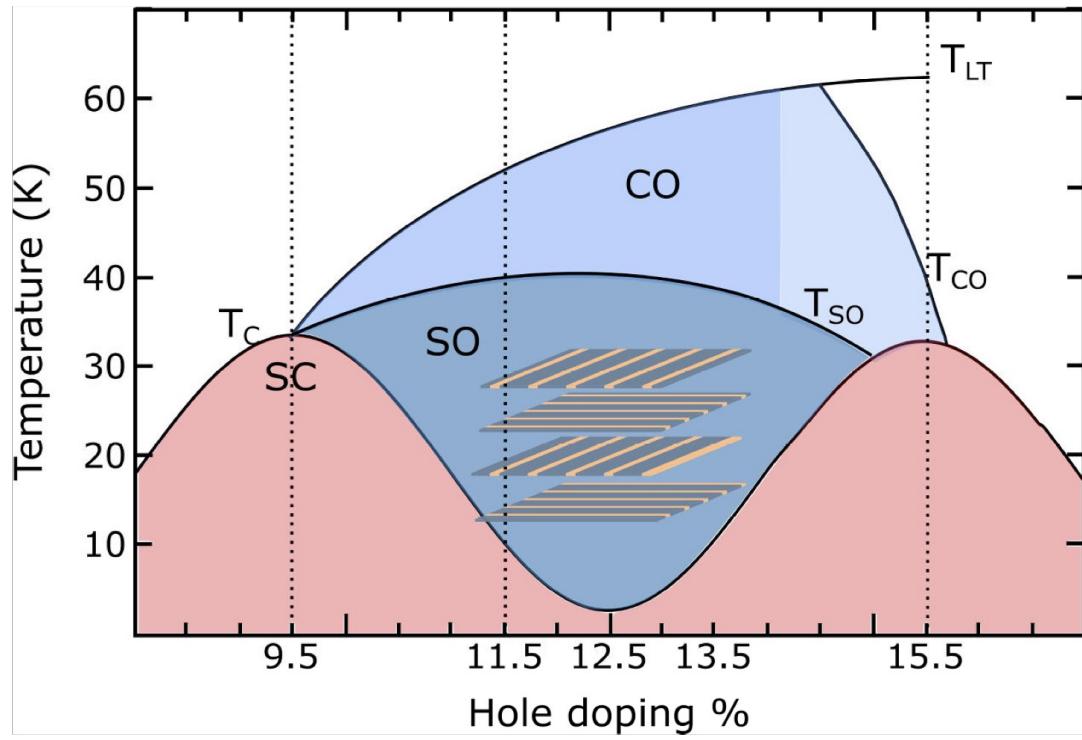
What is the pairing mechanism in the cuprate superconductors?



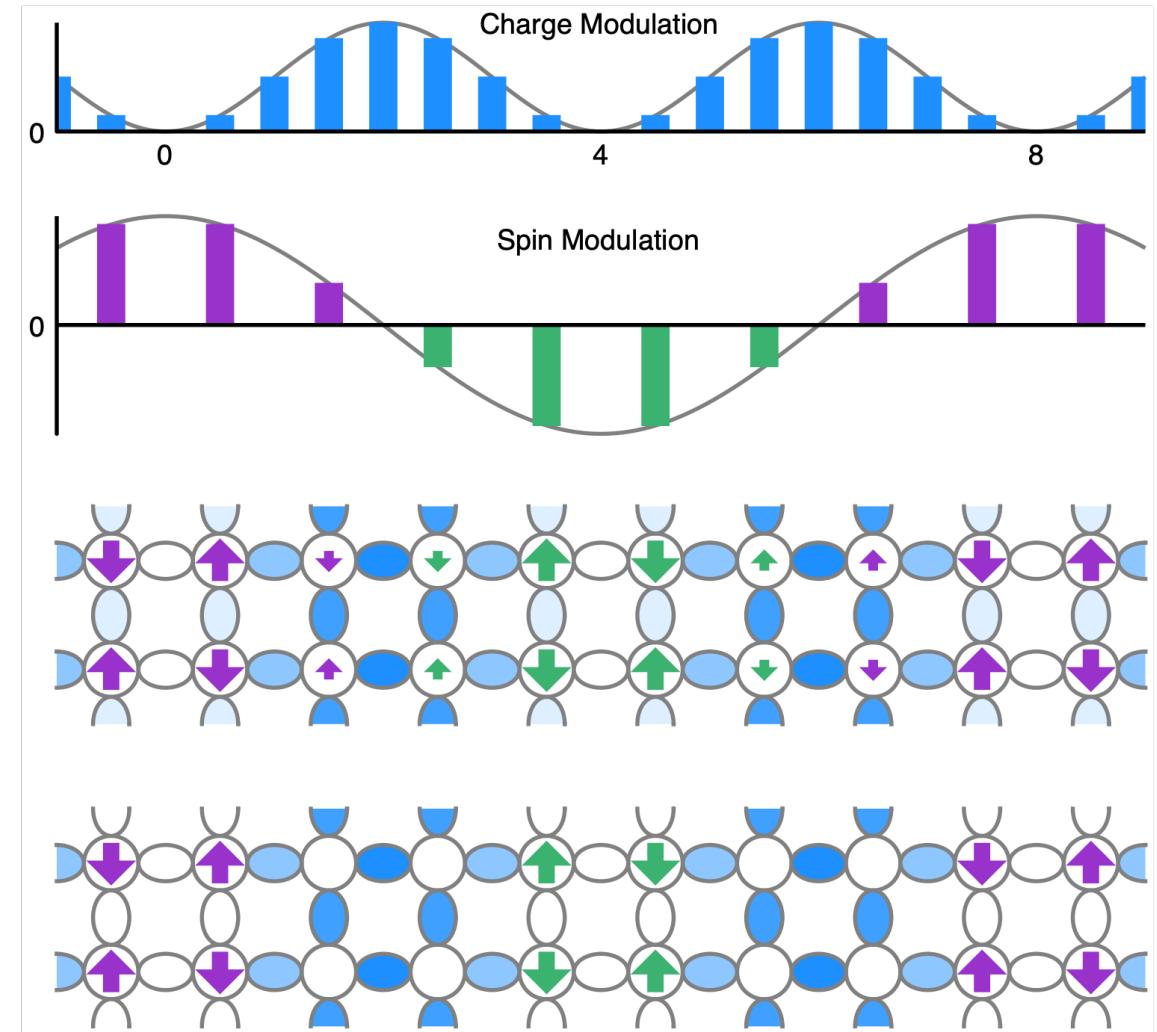
What is the pairing mechanism in the
cuprate superconductors?

What is the nature of their normal state?

Spin and charge stripes



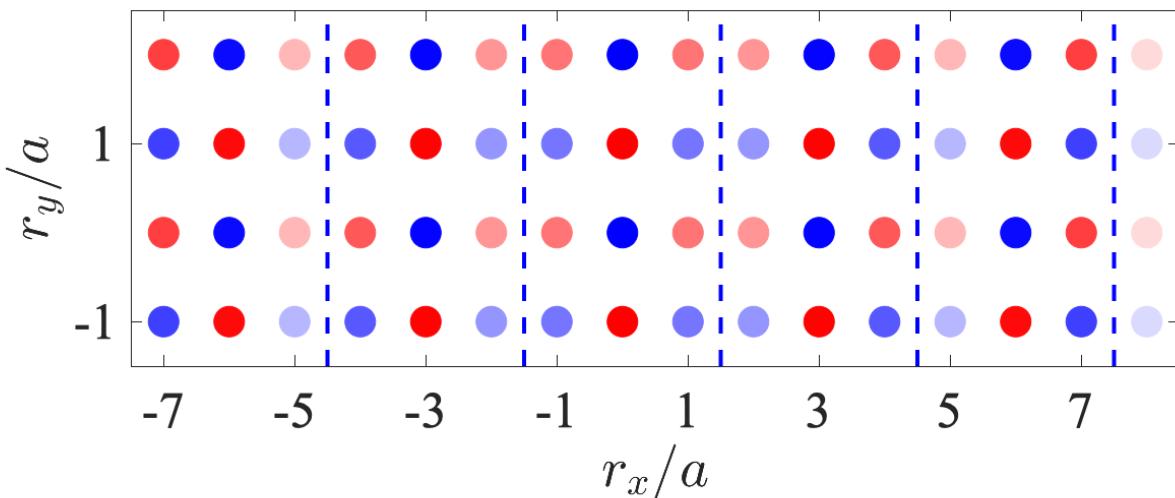
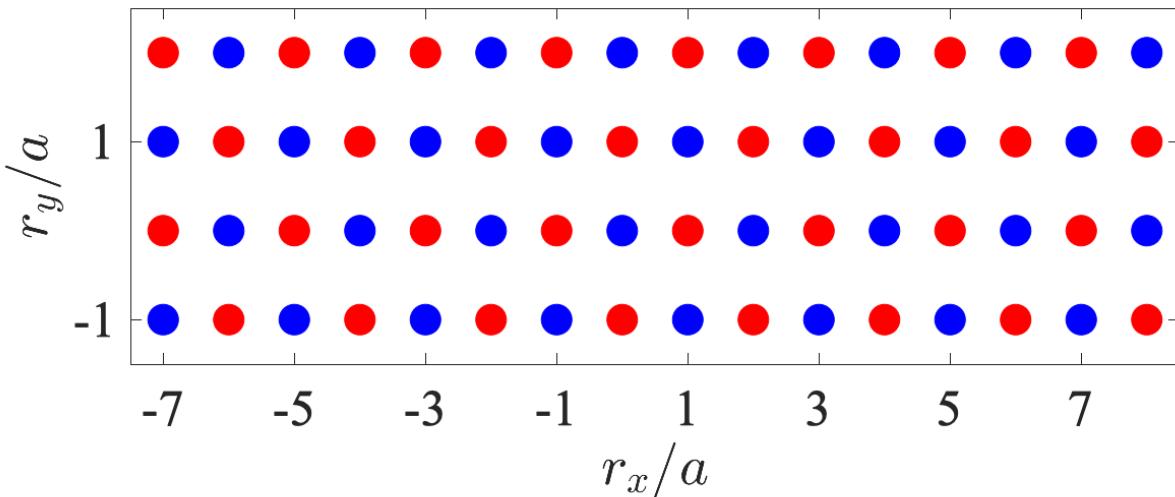
*Rajasekaran *et al.*, Science **359**, 6375 (2018).



* J. Tranquada, Adv. Phys. **69**, 437-509 (2020).

A quick note on notation

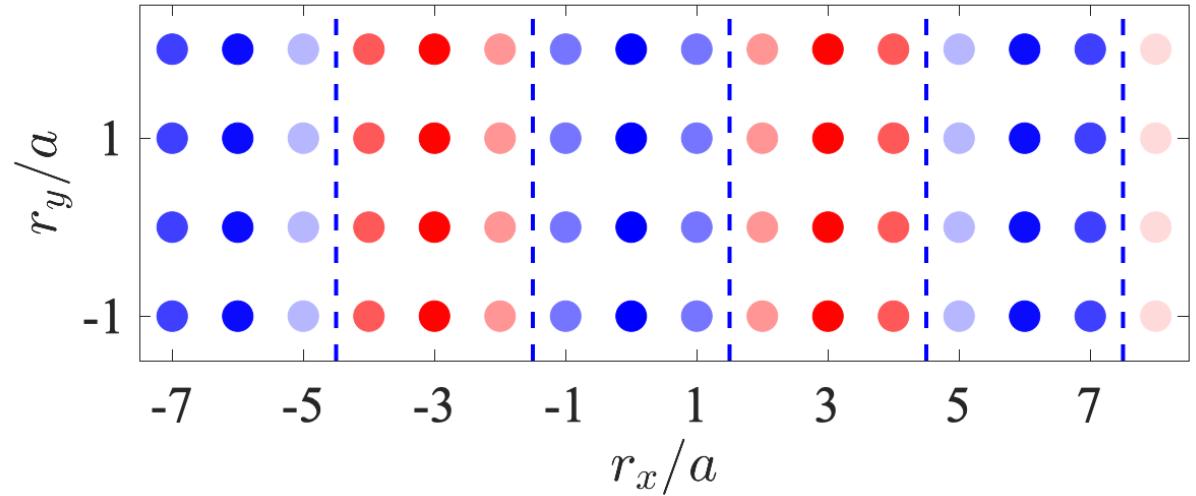
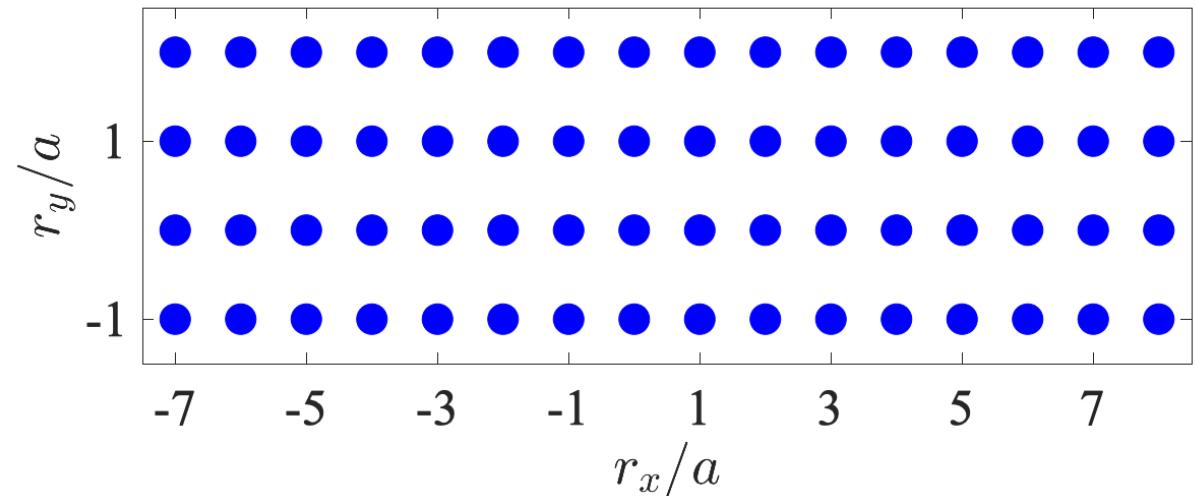
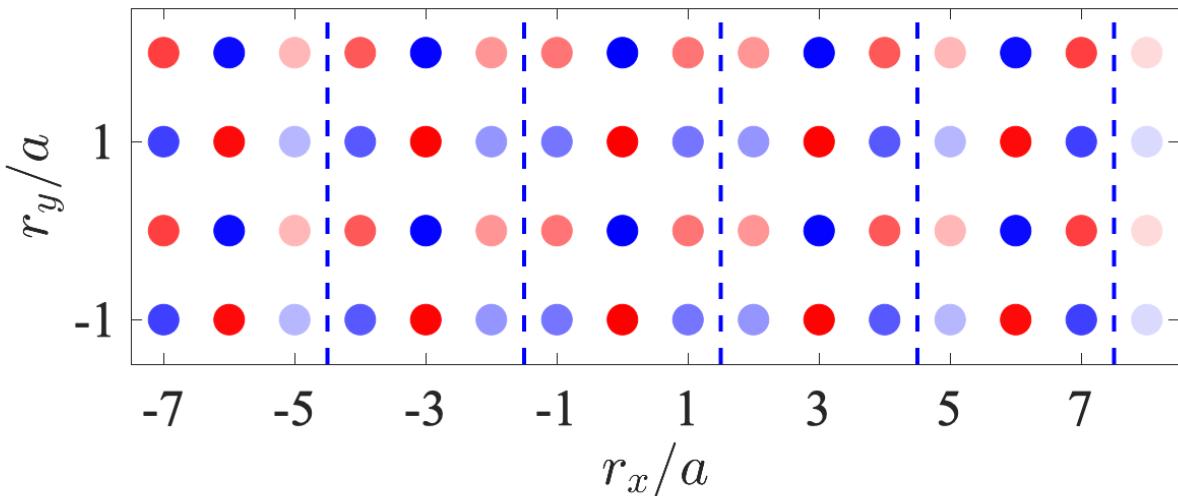
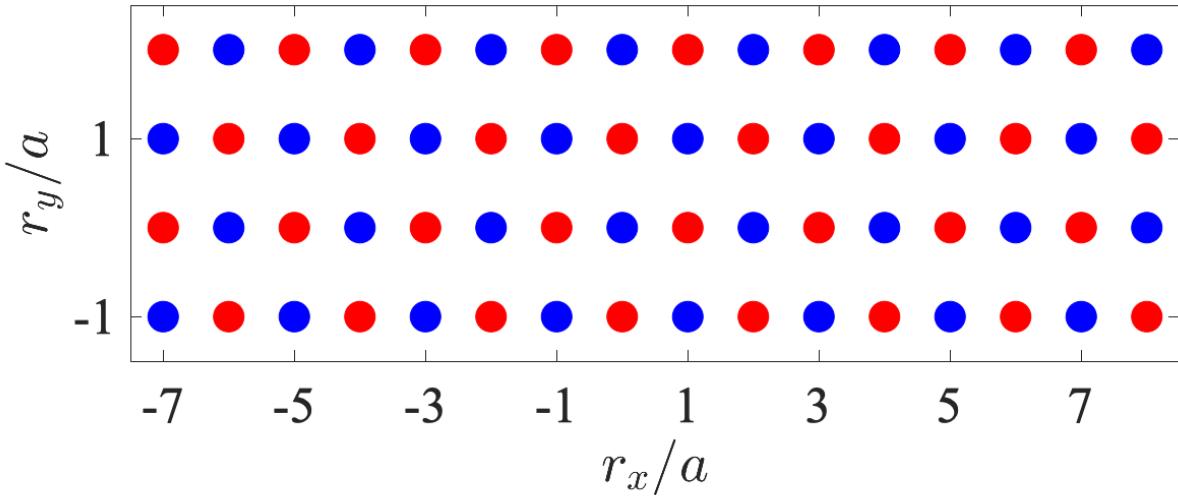
$$S(\mathbf{r}) = \langle S_z(\mathbf{r})S_z(0) \rangle$$



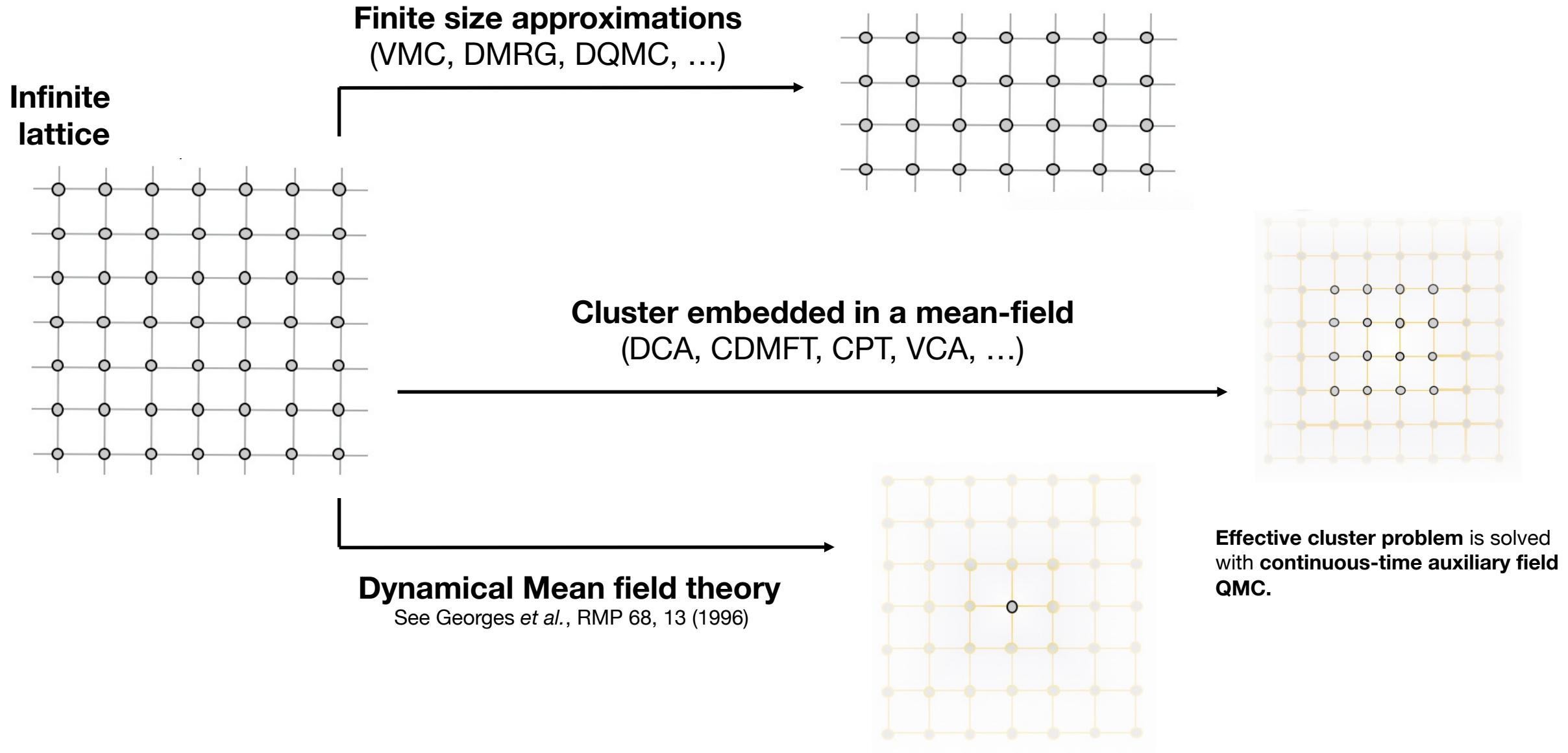
A quick note on notation

$$S(\mathbf{r}) = \langle S_z(\mathbf{r})S_z(0) \rangle \quad \rightarrow$$

$$S_{\text{stag}}(\mathbf{r}) = (-1)^{r_x+r_y} \langle S_z(\mathbf{r})S_z(0) \rangle$$



Finite cluster vs quantum embedding methods

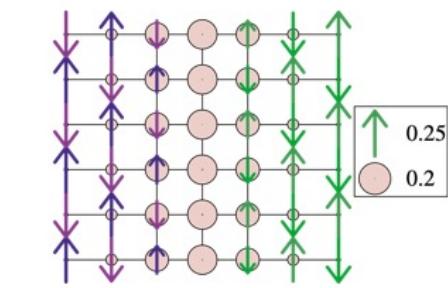
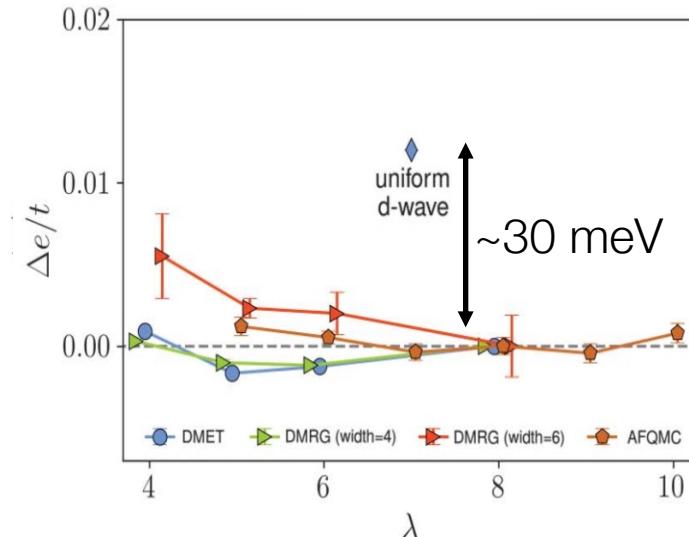
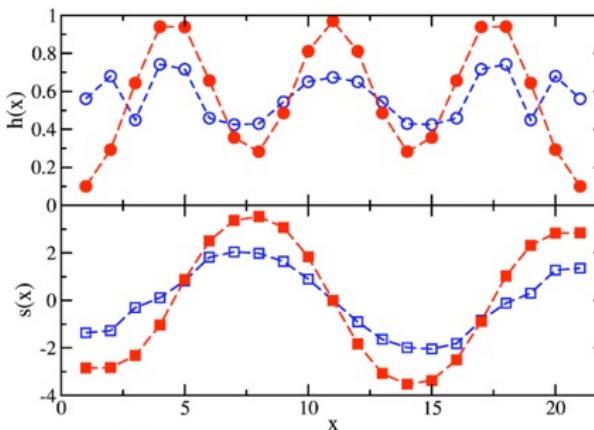
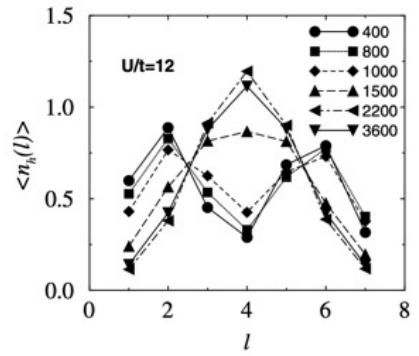




Leadership
class
computing
facilities



Stripes in finite size cluster methods @ $T = 0$



2003

DMRG on
7 x 6 Hubbard ladder
White & Scalapino, PRL '03

2005

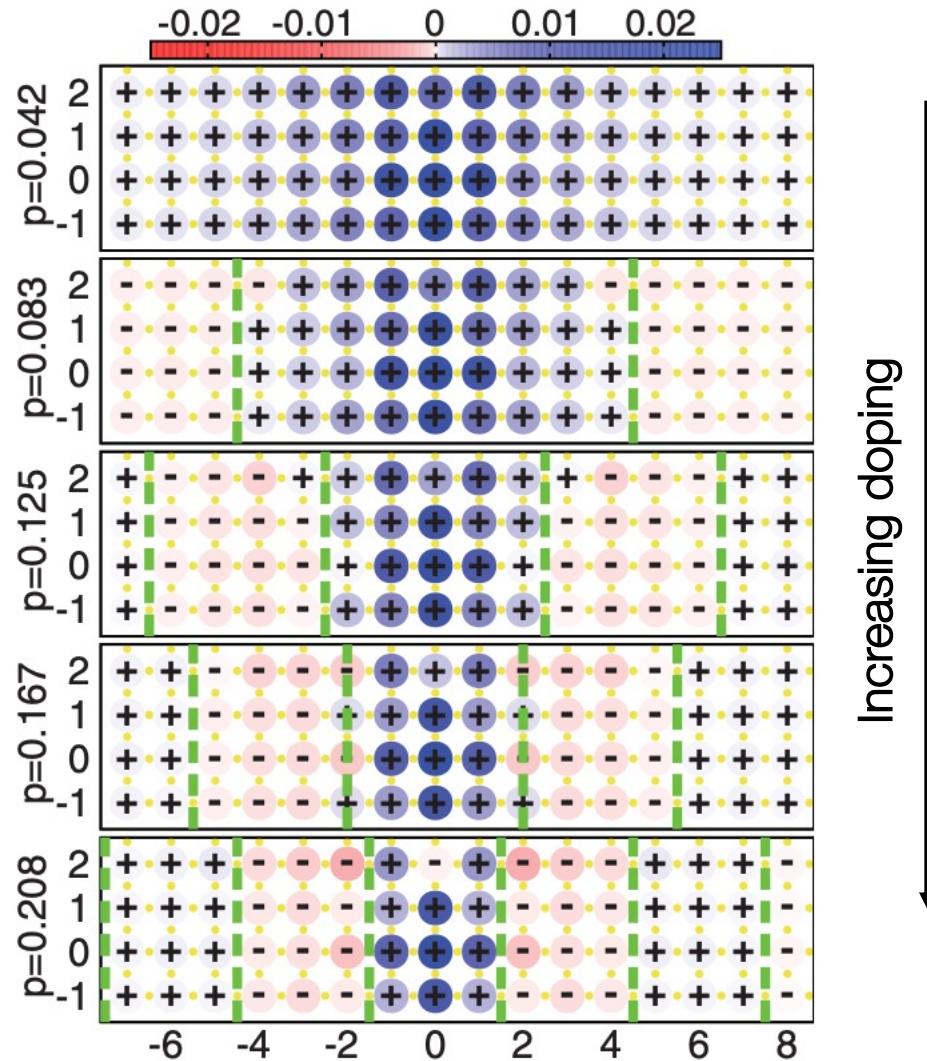
DMRG on
21 x 6 Hubbard ladder
Hager et al., PRB '05
⇒ Stripes

2018

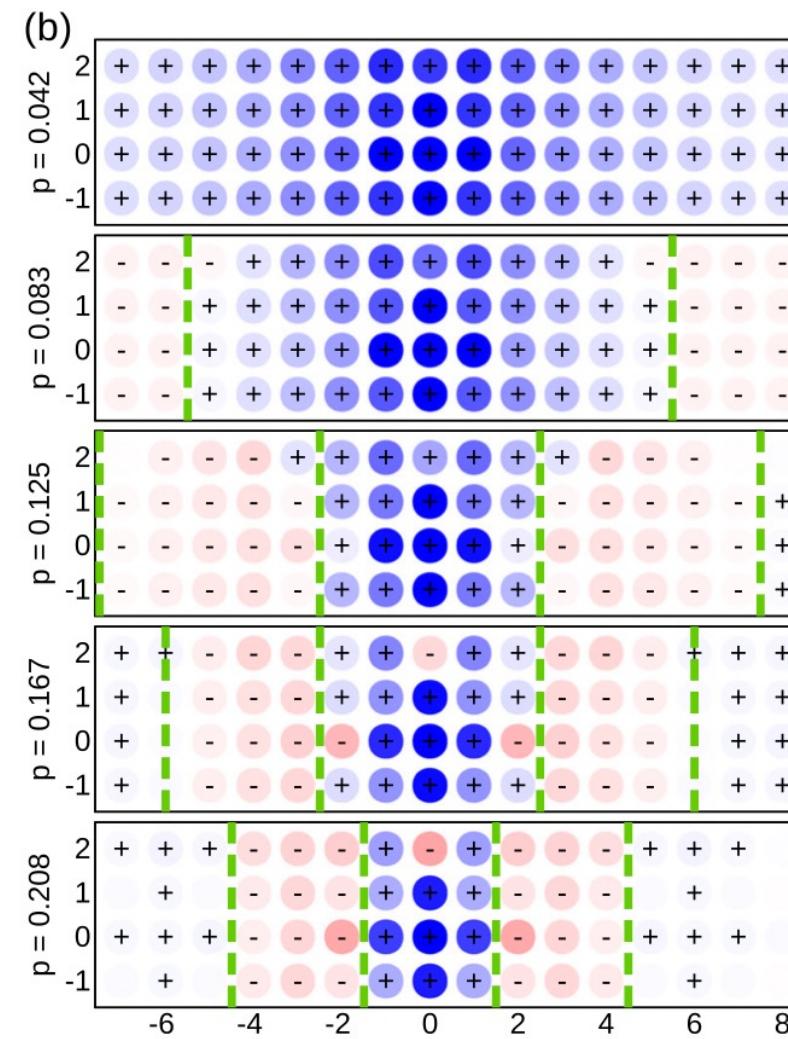
DMRG (and other methods)
on up to
64 x 7 Hubbard ladders
Zheng et al., Science '17
⇒ Filled charge & spin stripes
($t'=0$)

Stripes in finite size cluster methods @ finite T

three-band
Hubbard



single-band
Hubbard



*E. Huang, ... SJ, *et al.*,
Science 358, 1161 (2017).

*E. Huang *et al.*,
Quant. Mat. 3, 22 (2018).

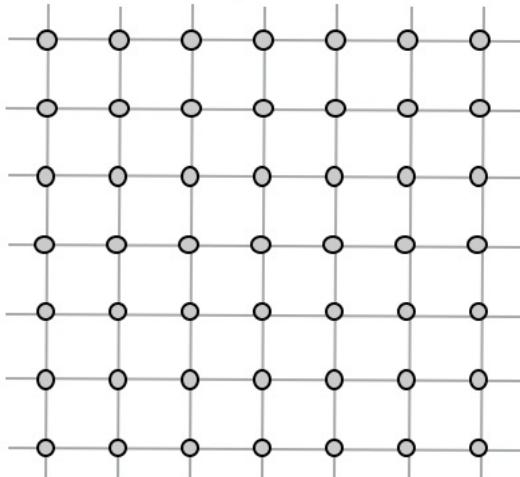
Absence of Superconductivity in the Pure Two-Dimensional Hubbard Model

Mingpu Qin^{1,2,*}, Chia-Min Chung^{3,4,*}, Hao Shi,⁵ Ettore Vitali,^{6,2} Claudius Hubig^{6,7},
Ulrich Schollwöck^{3,4}, Steven R. White⁸, and Shiwei Zhang^{5,2}

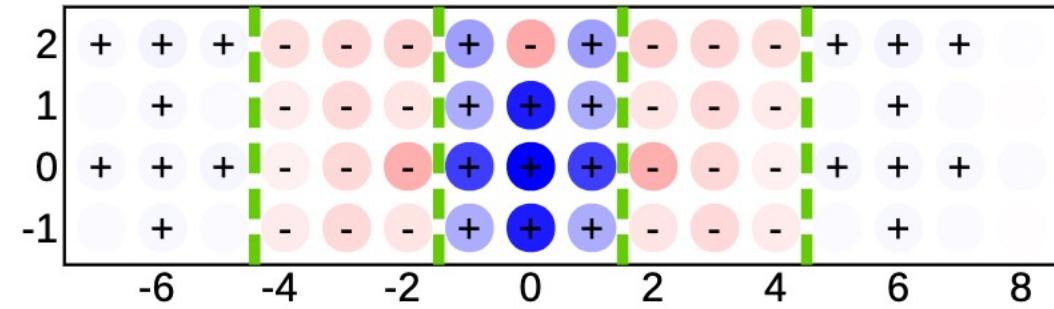
(Simons Collaboration on the Many-Electron Problem)

Finite cluster vs quantum embedding methods

Infinite lattice



Finite size approximations
(VMC, DMRG, DQMC, ...)



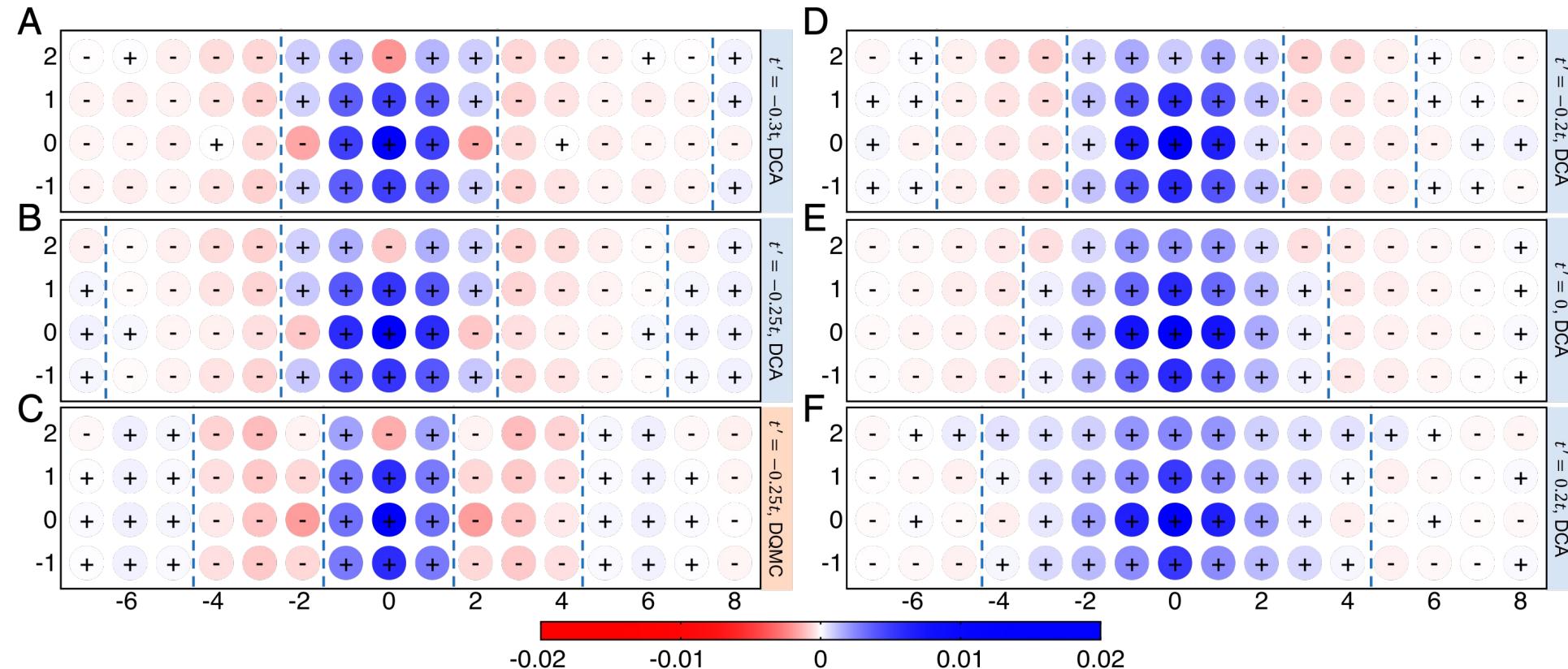
Cluster embedded in a mean-field
(DCA, CDMFT, CPT, VCA, ...)



DCA spin stripe correlations in 16×4 clusters

Time-integrated (zero frequency) staggered spin correlations:

$$S_{\text{stag}}(\mathbf{r}, \omega = 0) = (-1)^{(r_x + r_y)} \frac{1}{N} \sum_{\mathbf{i}} \int_0^{\beta} \langle \hat{S}_{\mathbf{r}+\mathbf{i}}^z(\tau) \hat{S}_{\mathbf{i}}^z(0) \rangle d\tau$$



$$U/t = 6$$

$$\beta t = 6$$

$$\langle n \rangle = 0.8$$

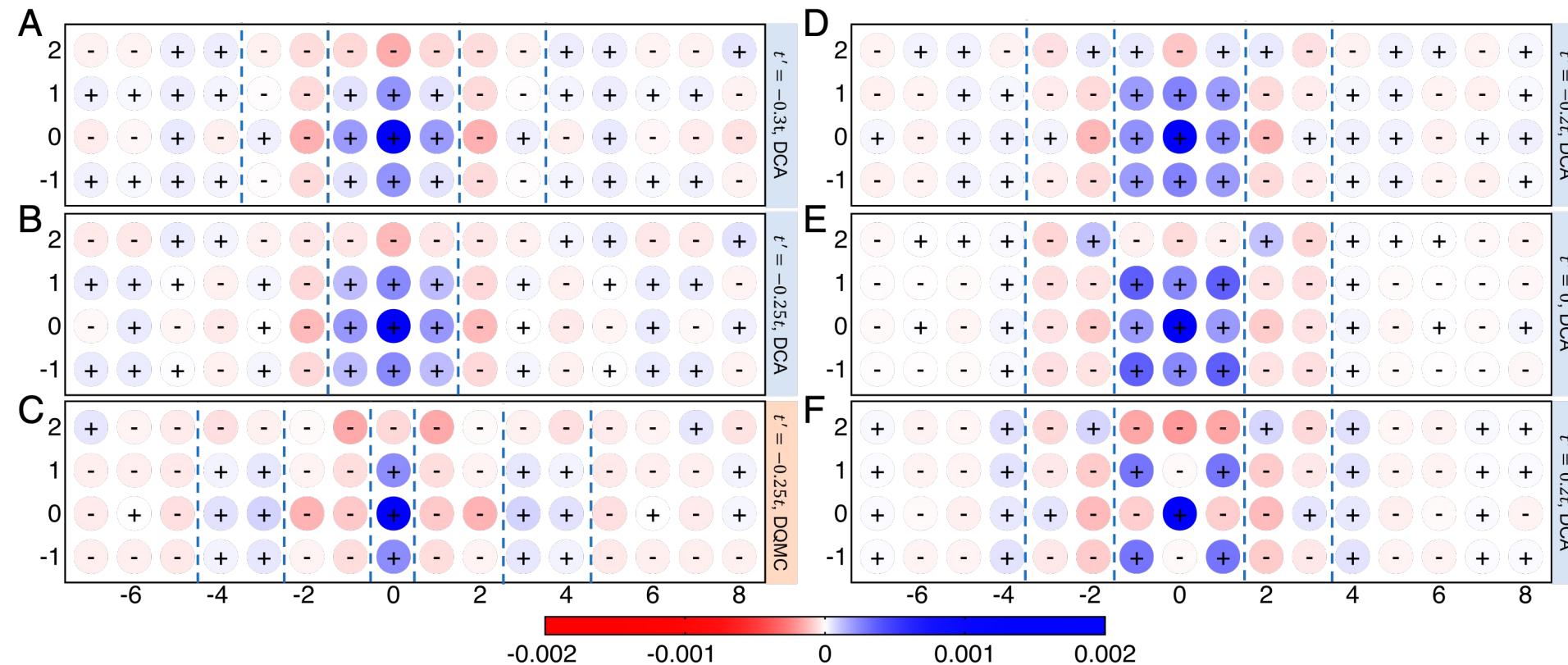
DCA spin stripes

- Clearly present at $\langle n \rangle = 0.8$
- Note as strong as in DQMC
- Periodicity and strength depend on t'/t

DCA charge stripe correlations in 16×4 clusters

Time-integrated (zero frequency) density-density correlations:

$$N(\mathbf{r}, \omega = 0) = \frac{1}{N} \int_0^\beta [\langle n_{\mathbf{r}+\mathbf{i}}(\tau) n_{\mathbf{i}}(0) \rangle - \langle n_{\mathbf{r}+\mathbf{i}}(\tau) \rangle \langle n_{\mathbf{i}}(0) \rangle] d\tau.$$



$$U/t = 6$$

$$\beta t = 6$$

$$\langle n \rangle = 0.8$$

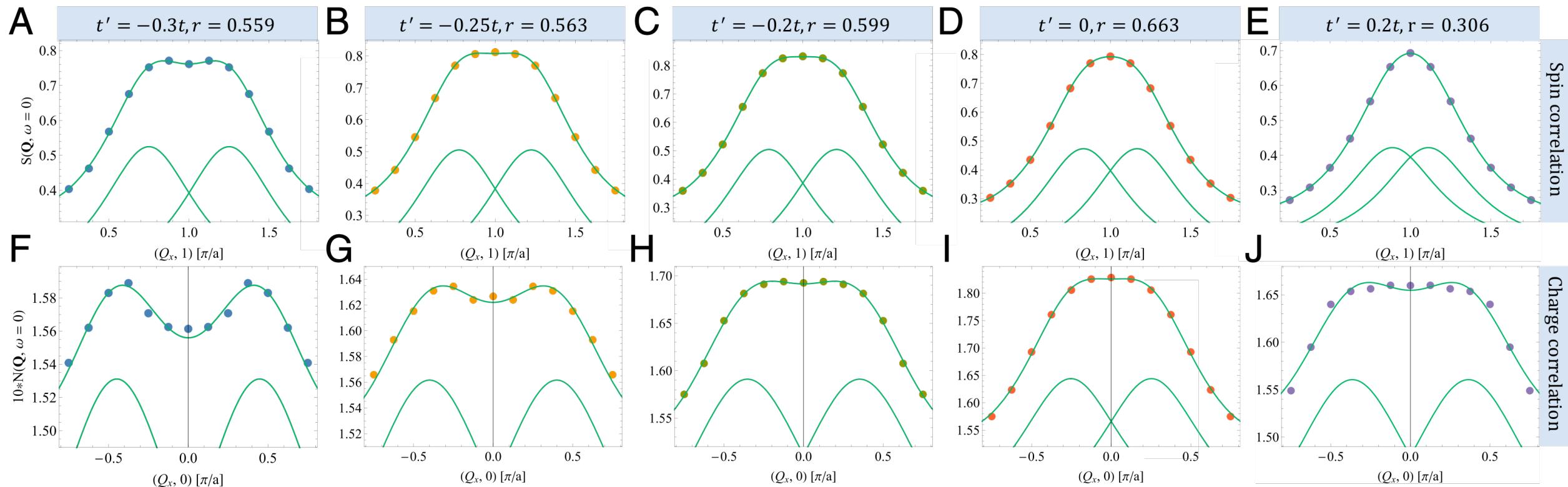
DCA charge stripes

- Clearly present at $\langle n \rangle = 0.8$.
- Less dependence on t'/t .

DCA spin (top) and charge (bottom) structure factors

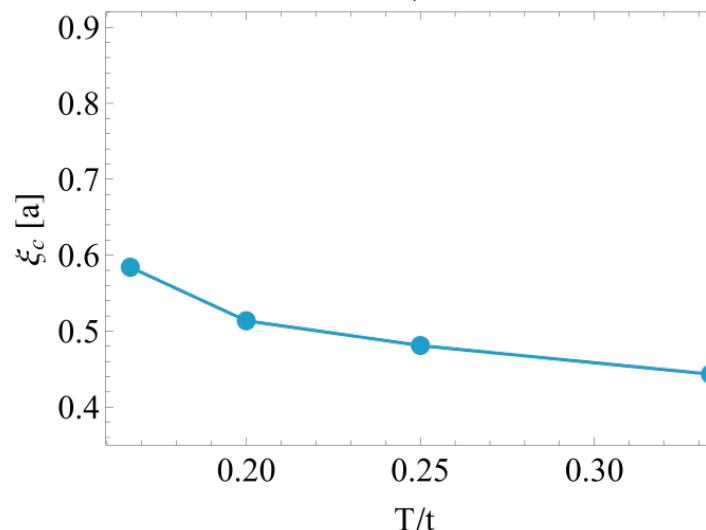
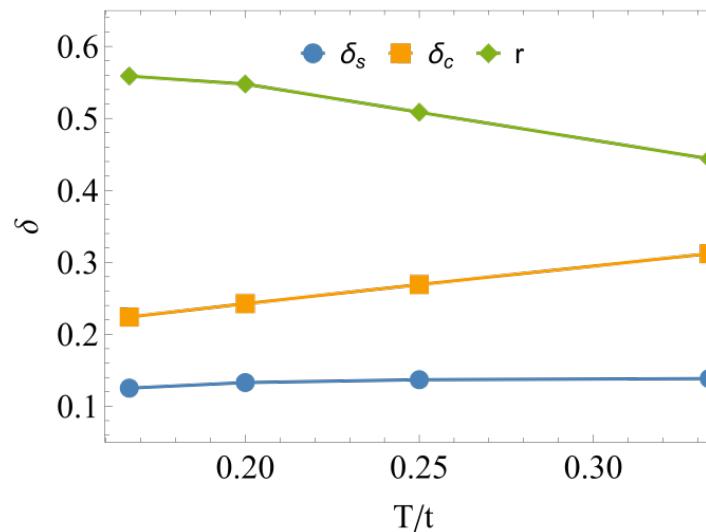
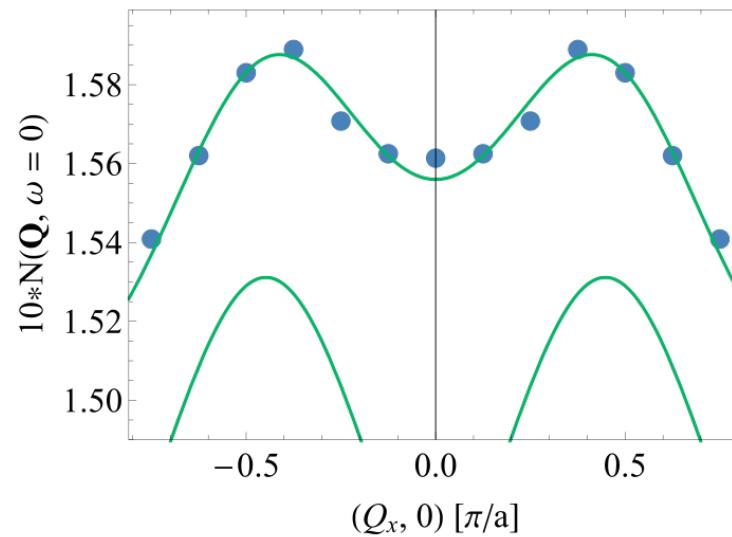
$$S(\mathbf{Q}, \omega = 0) = \frac{1}{N} \sum_{i,j} e^{i\mathbf{Q} \cdot \mathbf{r}_{i,j}} S(\mathbf{r}_{i,j}, \omega = 0)$$

$$N(\mathbf{Q}, \omega = 0) = \frac{1}{N} \sum_{i,j} e^{i\mathbf{Q} \cdot \mathbf{r}_{i,j}} N(\mathbf{r}_{i,j}, \omega = 0)$$



Evolution of the charge & spin correlations

Spin and charge commensurabilities: $\delta_c = Q_c$, $\delta_s = \pi - Q_s$, $r = \delta_s/\delta_c \approx 0.5$

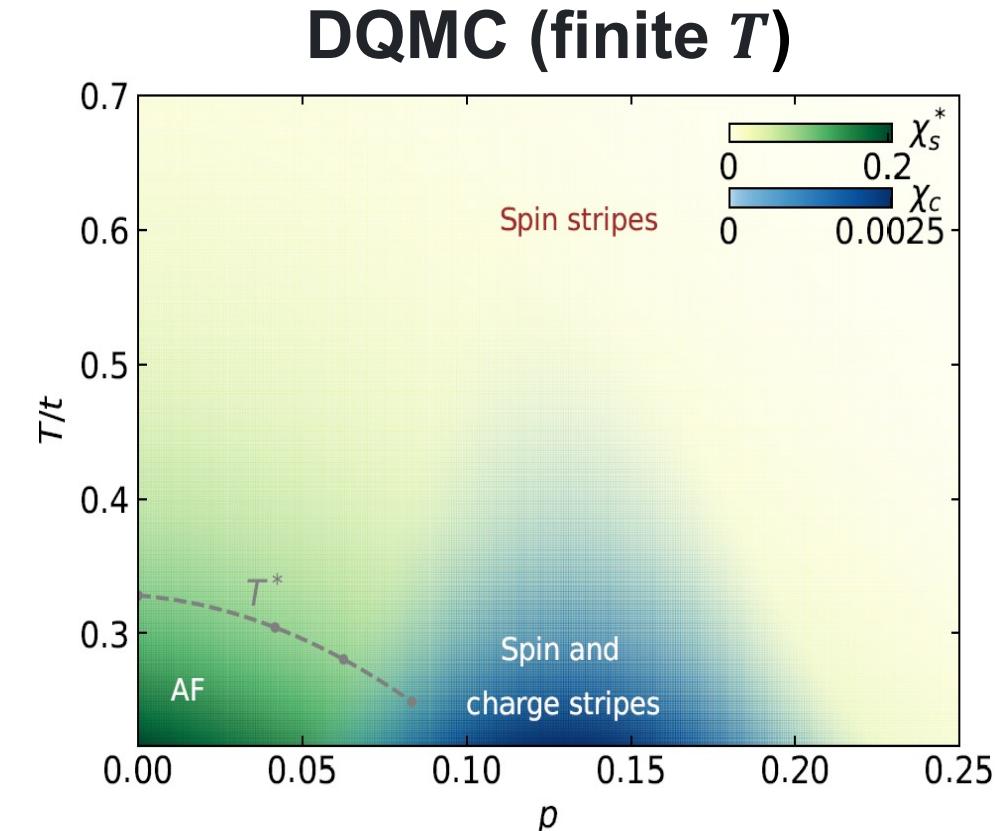
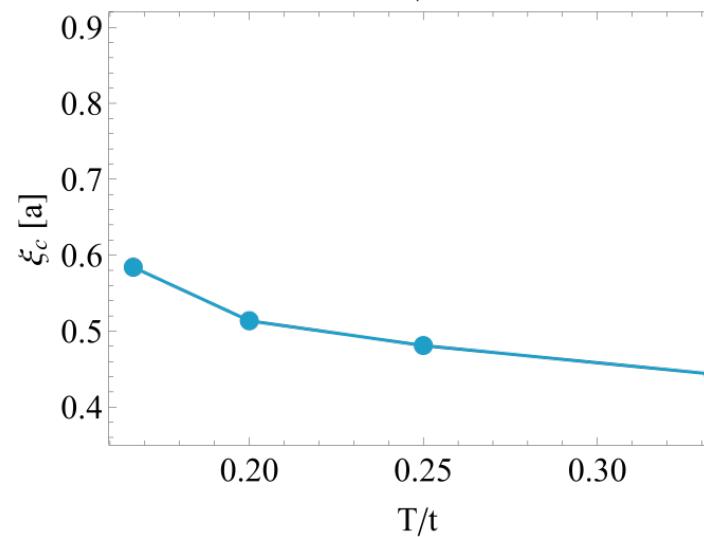
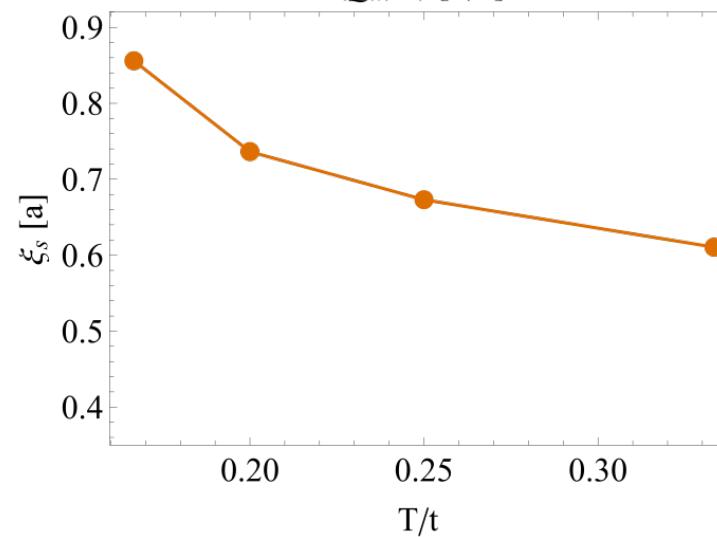
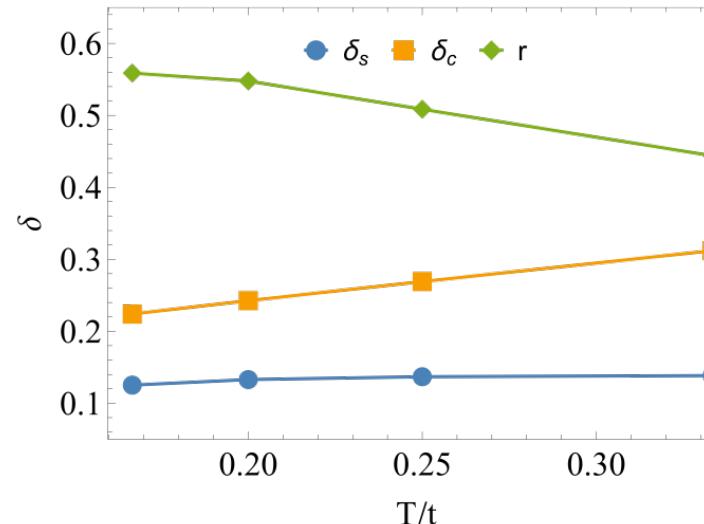
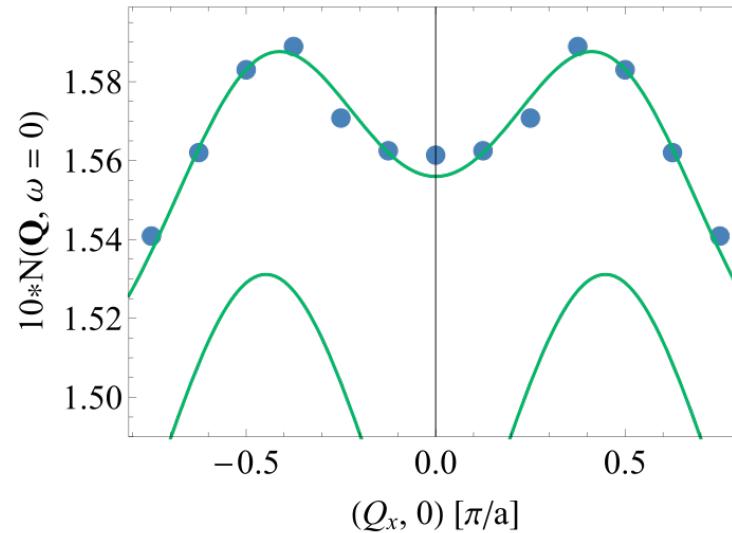


Spin & charge stripes

- Charge incommensurability locks in at twice that of the spin stripes
- Charge stripes emerge at lower energy scales as spin stripes in hole-doped case

Evolution of the charge & spin correlations

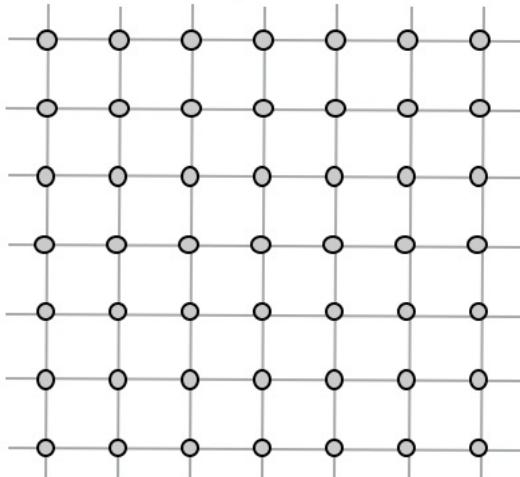
Spin and charge commensurabilities: $\delta_c = Q_c$, $\delta_s = \pi - Q_s$, $r = \delta_s/\delta_c \approx 0.5$



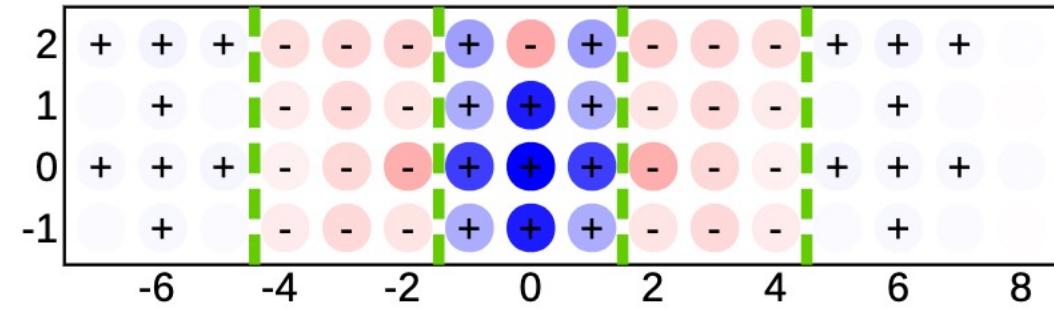
*E. Huang, ... SJ, et al.,
arXiv:2202.08845 (2022).

Finite cluster vs quantum embedding methods

Infinite lattice



Finite size approximations
(VMC, DMRG, DQMC, ...)

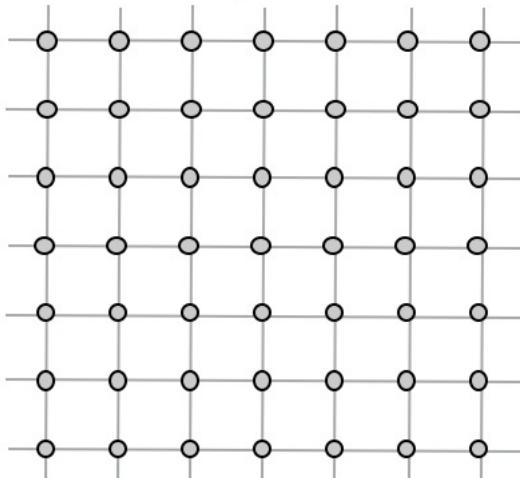


Cluster embedded in a mean-field
(DCA, CDMFT, CPT, VCA, ...)

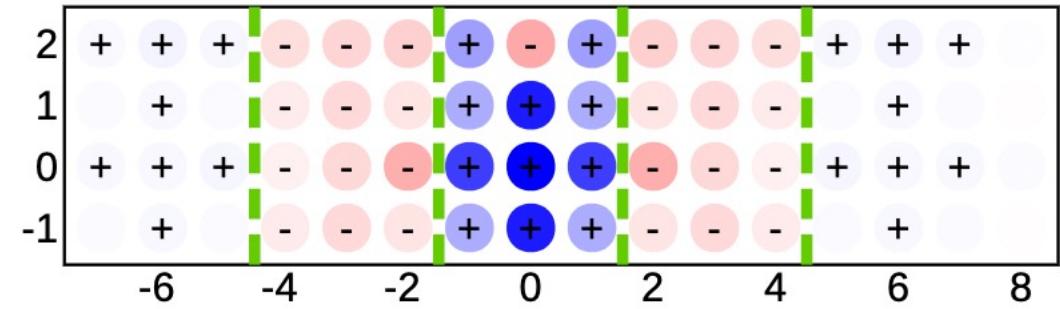


Finite cluster vs quantum embedding methods

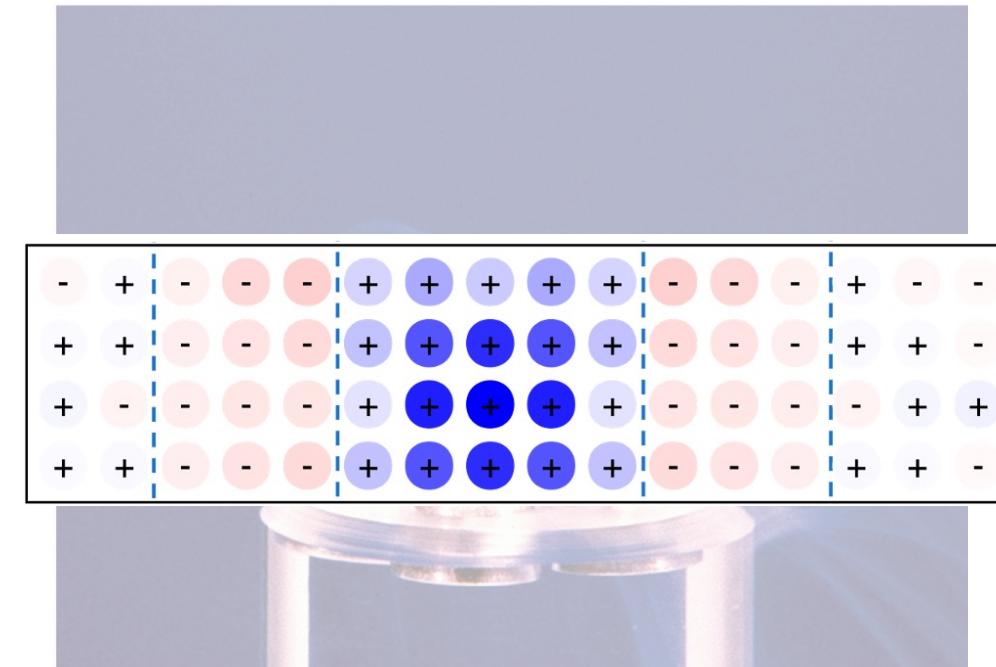
Infinite lattice



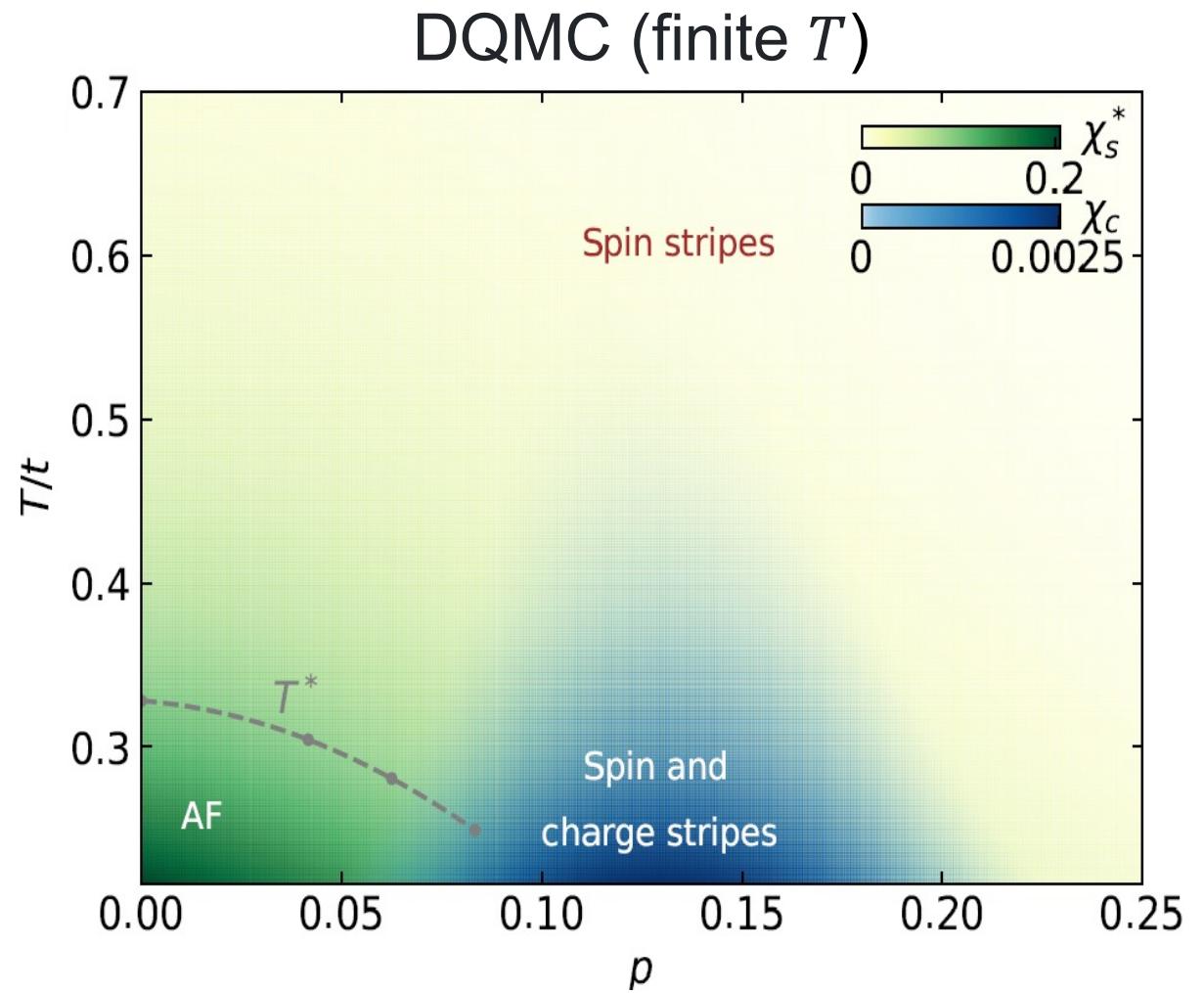
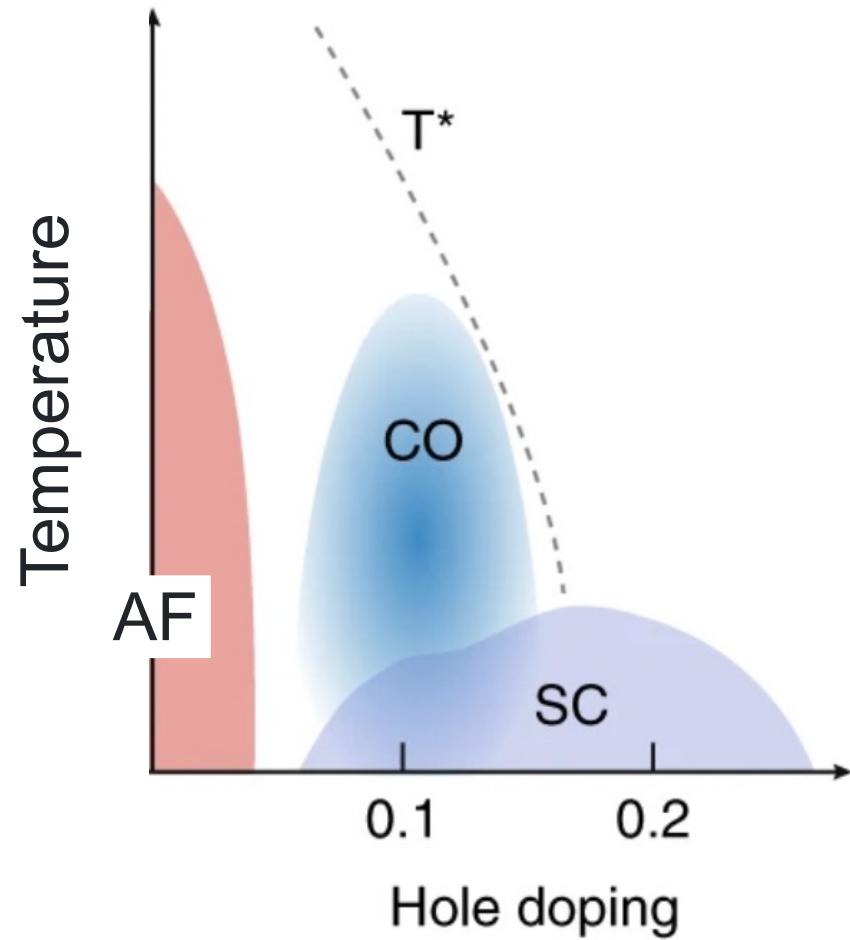
Finite size approximations
(VMC, DMRG, DQMC, ...)



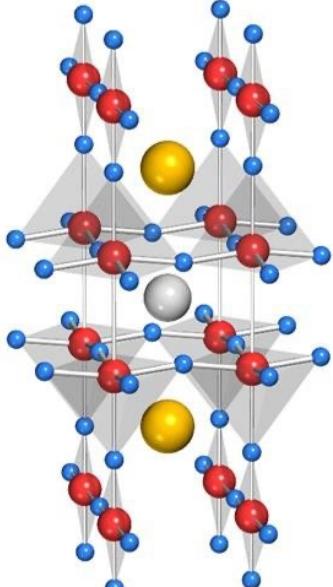
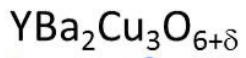
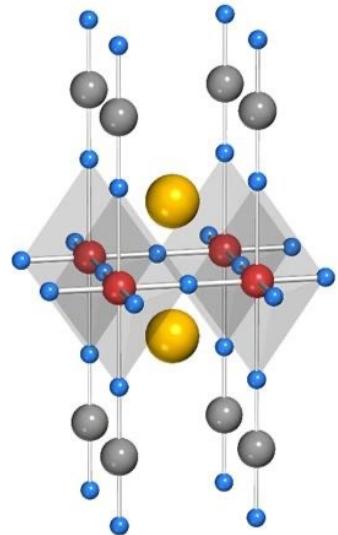
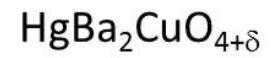
Cluster embedded in a mean-field
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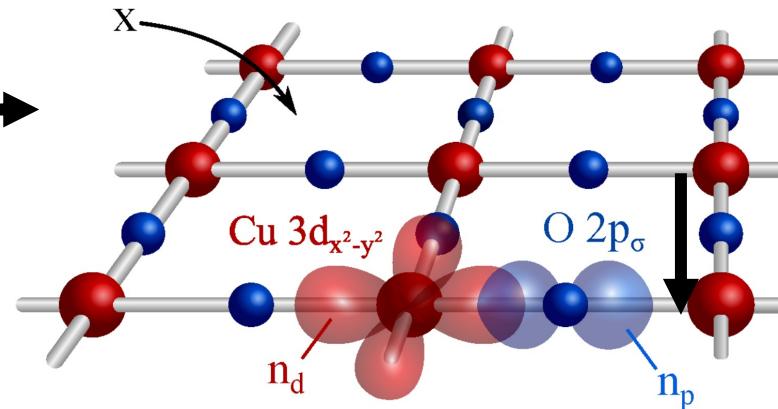
Which comes first, the spin or the charge?



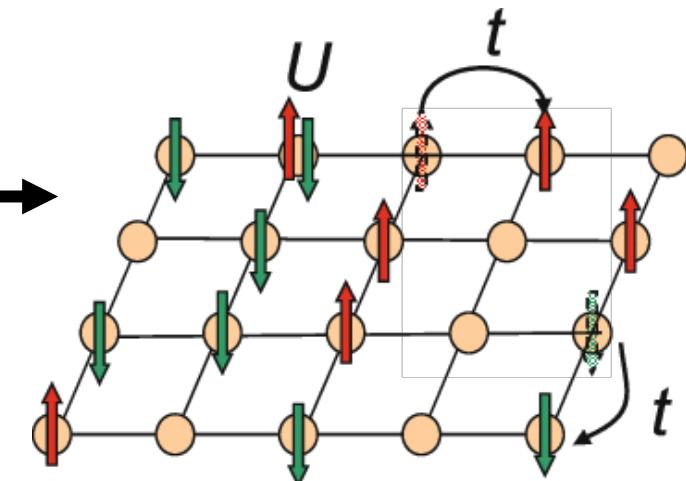
*E. Huang, ... SJ, et al., arXiv:2202.08845 (2022).



Isolate the
 CuO_2 plane

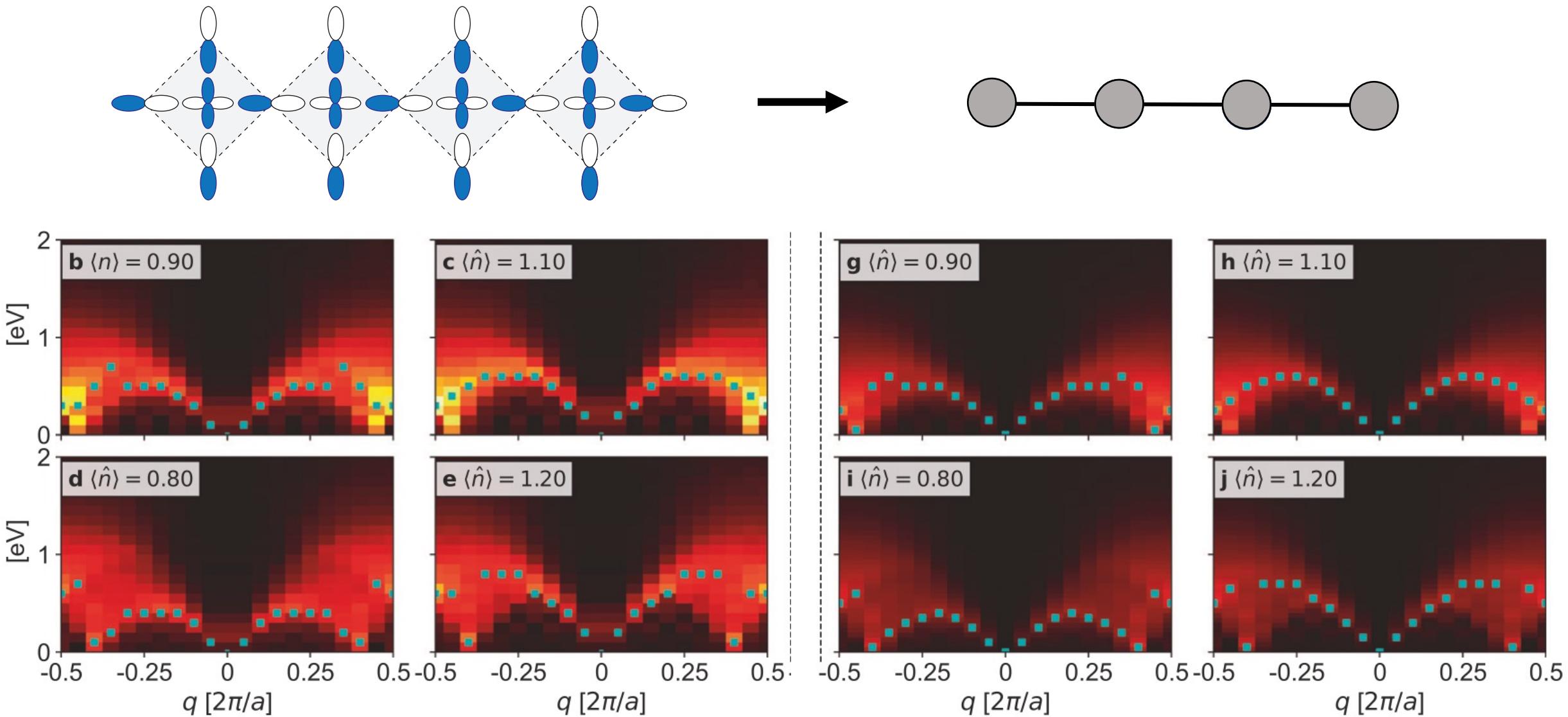


“Combine” the Cu and
O orbitals

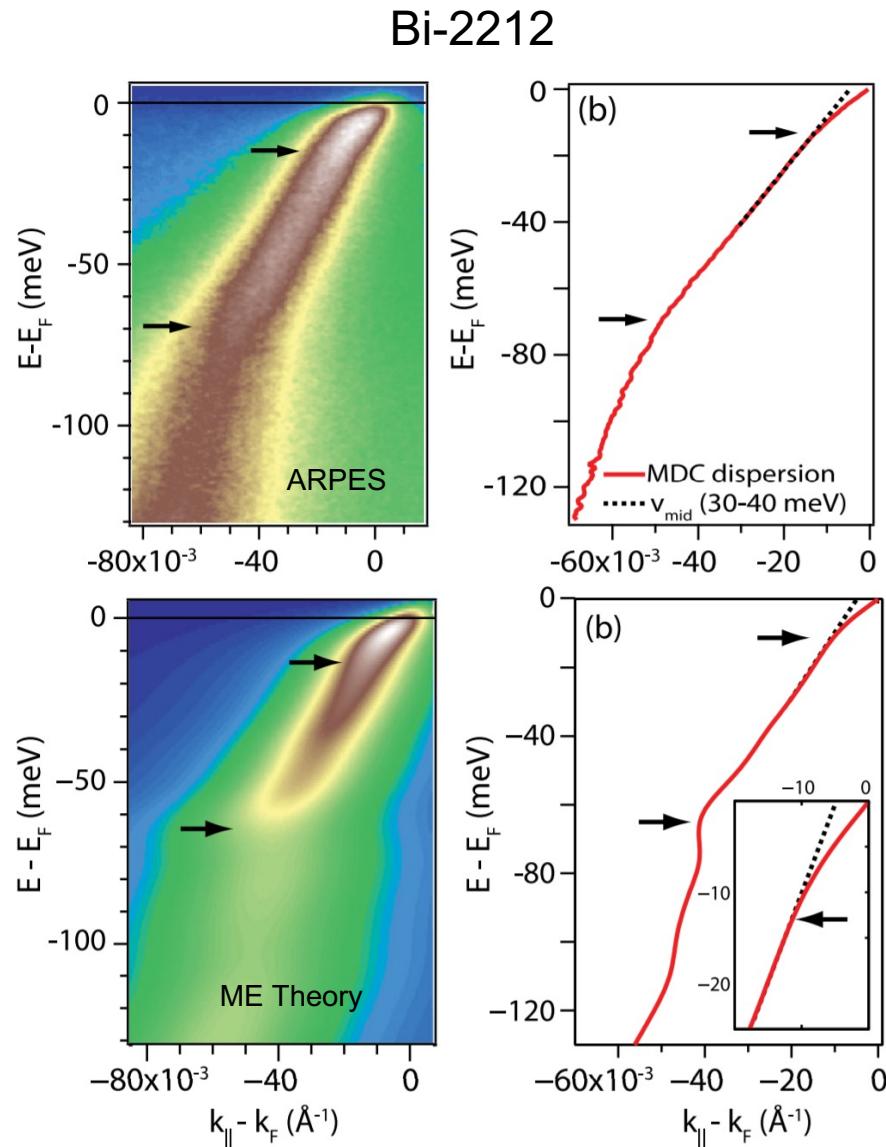


Did we lose something along the way?

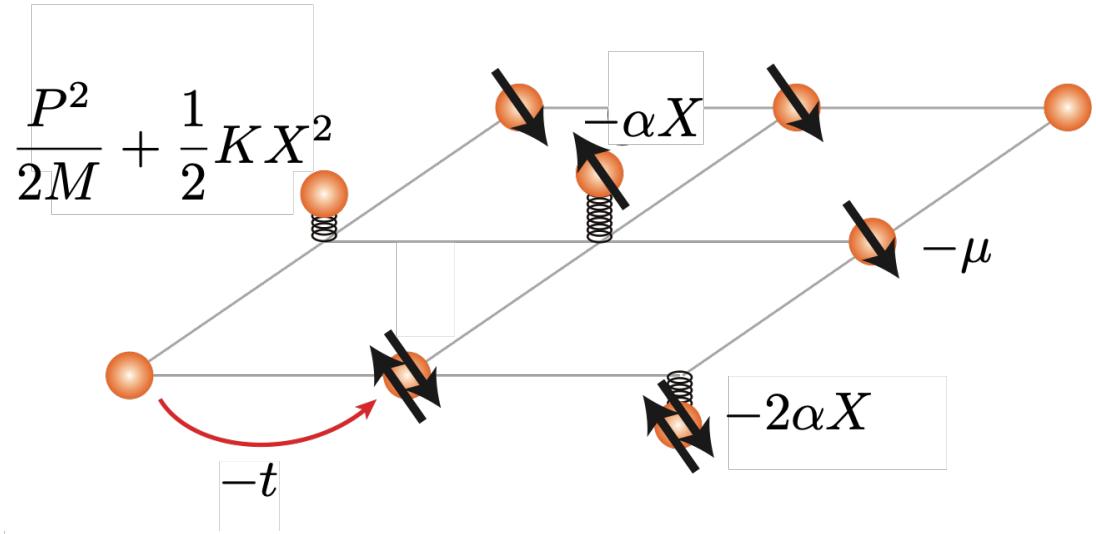
Spin dynamics of Sr_2CuO_3 , a cuprate spin chain



Evidence for strong electron-phonon coupling



Single-band Hubbard-Holstein model:



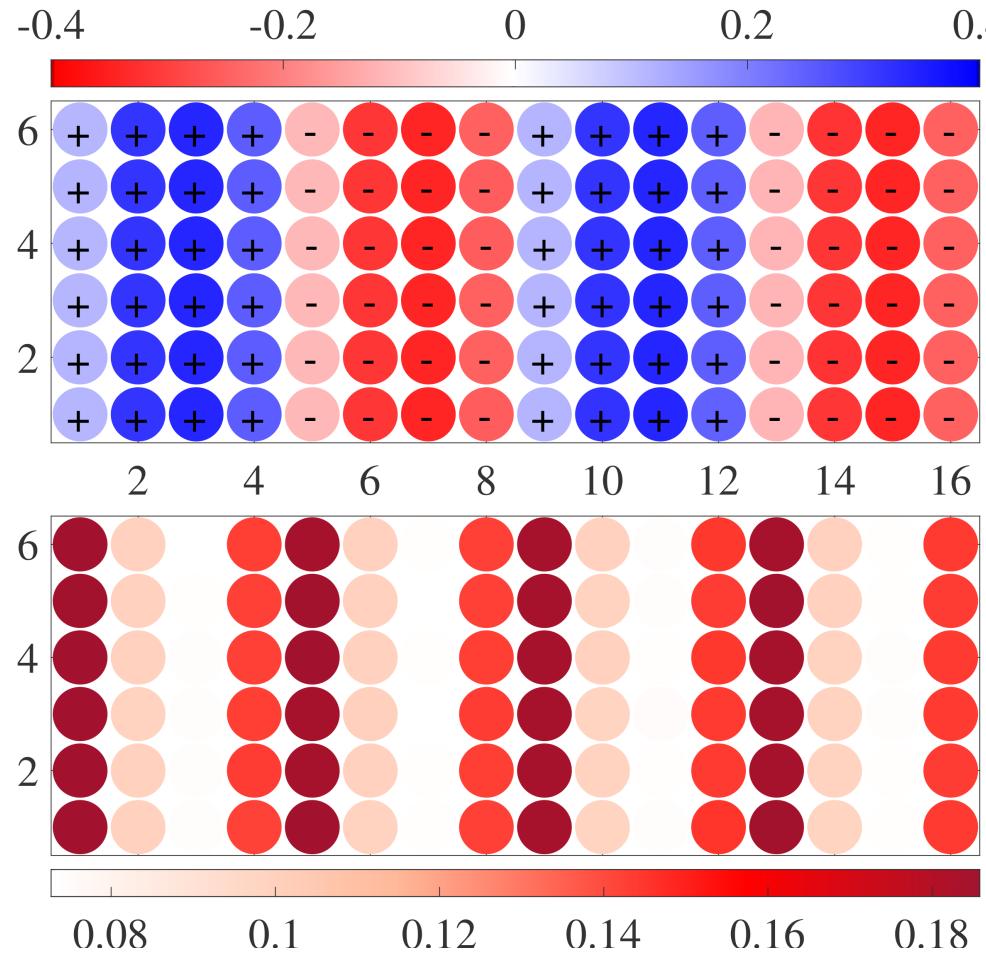
Variational Monte Carlo (VMC):

- Zero temperature method [see S. Karakuzu *et al.*, PRB **96**, 205145 (2017)]
- Markov chain Monte Carlo to optimize $\psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$
- Solved on 16×6 clusters

*S. Johnston *et al.* PRL **108**, 166404 (2012).

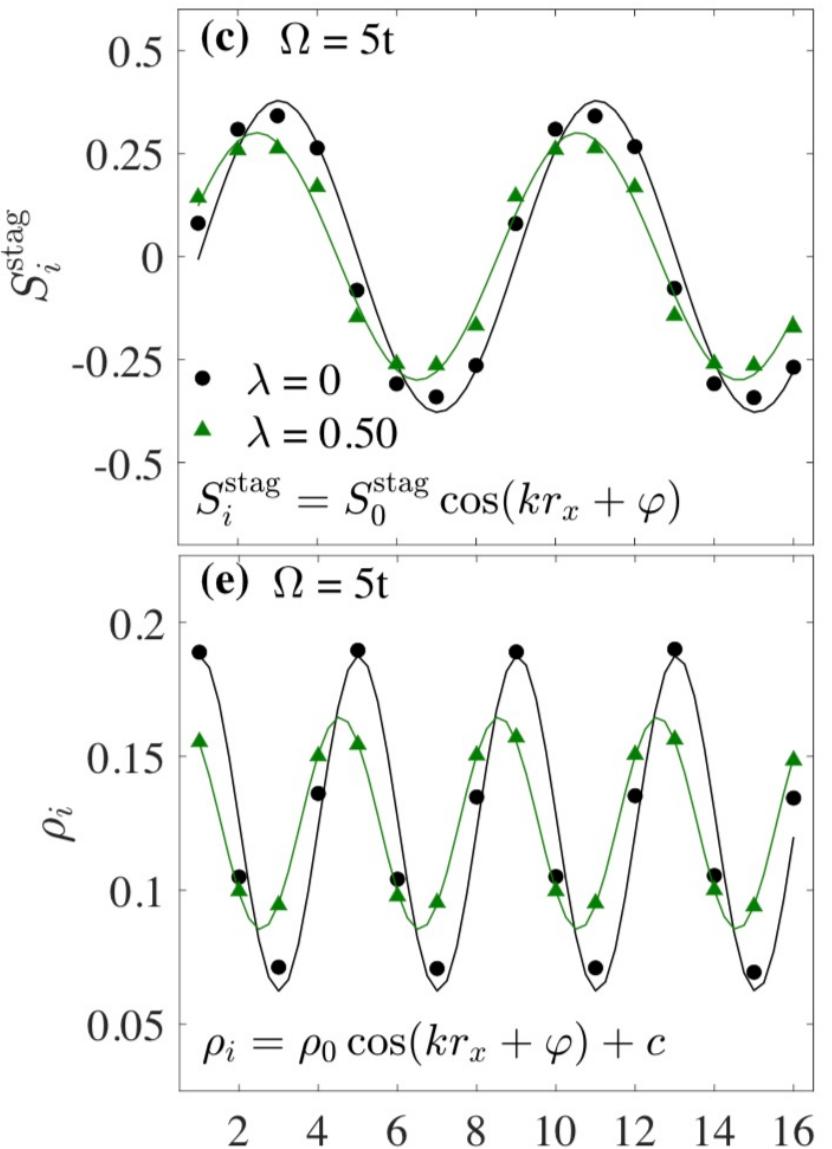
Variational Monte Carlo Results

$$t'/t = -0.25, U = 8t, \langle n \rangle = 0.875, \lambda = g^2/(4t\Omega) = 0$$

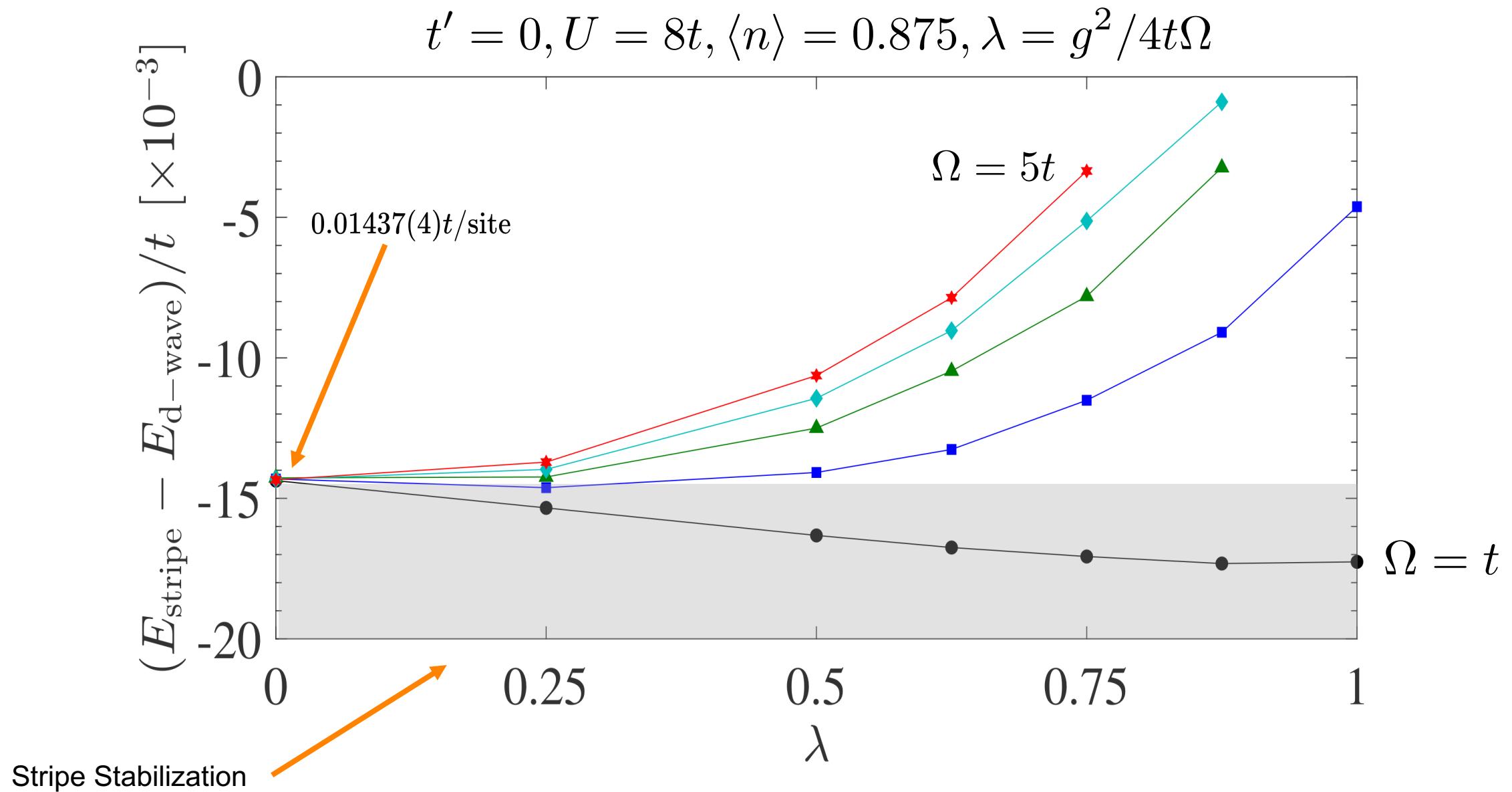


Results consistent with K. Ido *et al.* PRB
97, 045138 (2018)

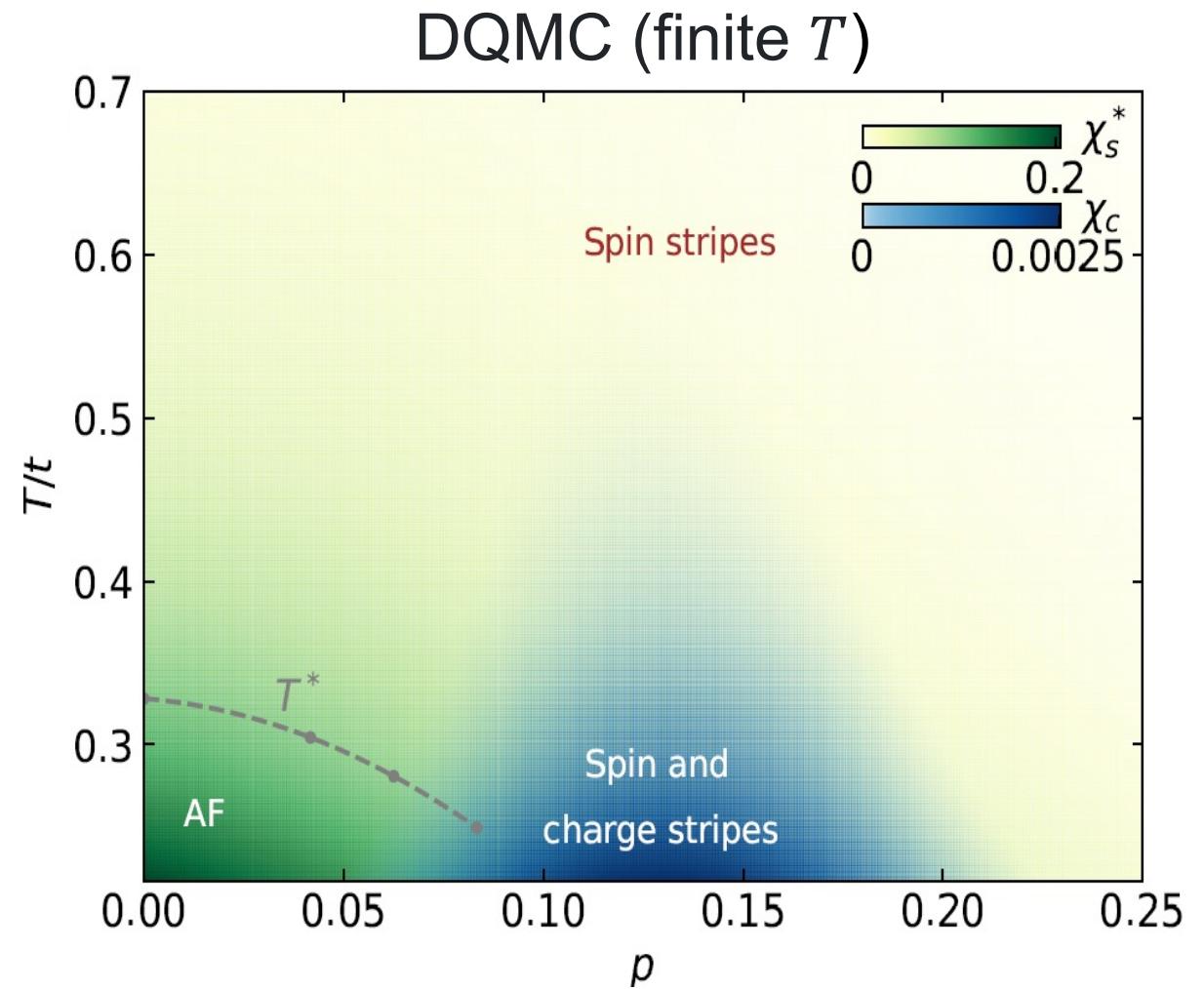
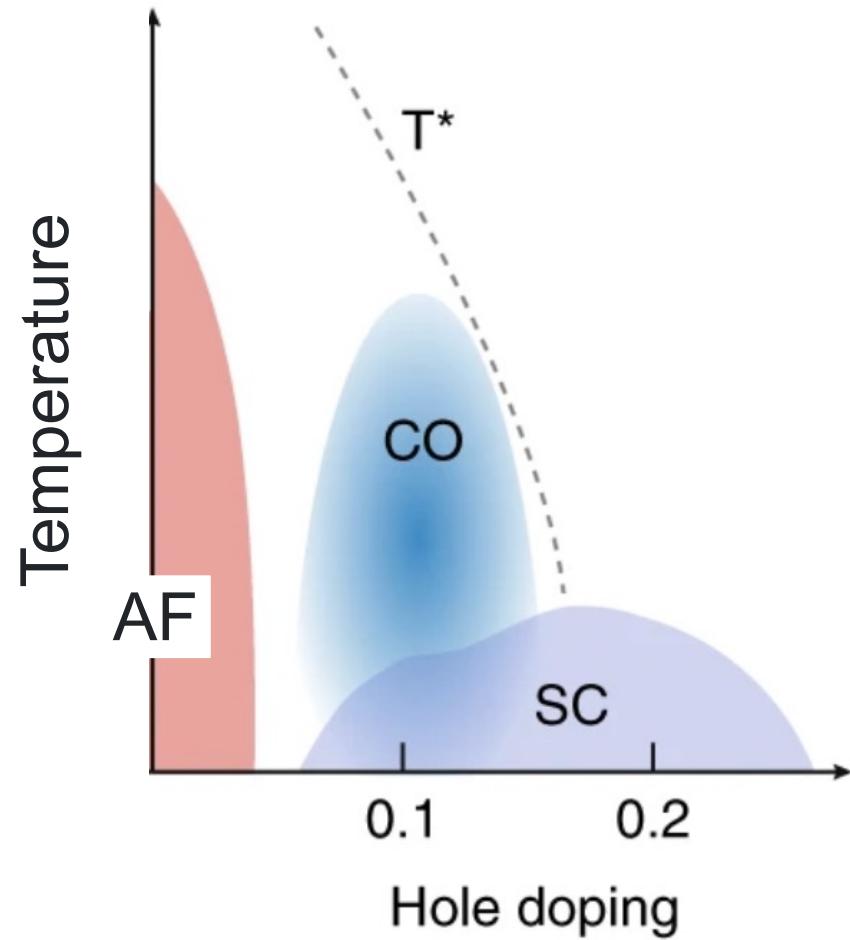
$\Omega = 5t$



Variational Energies

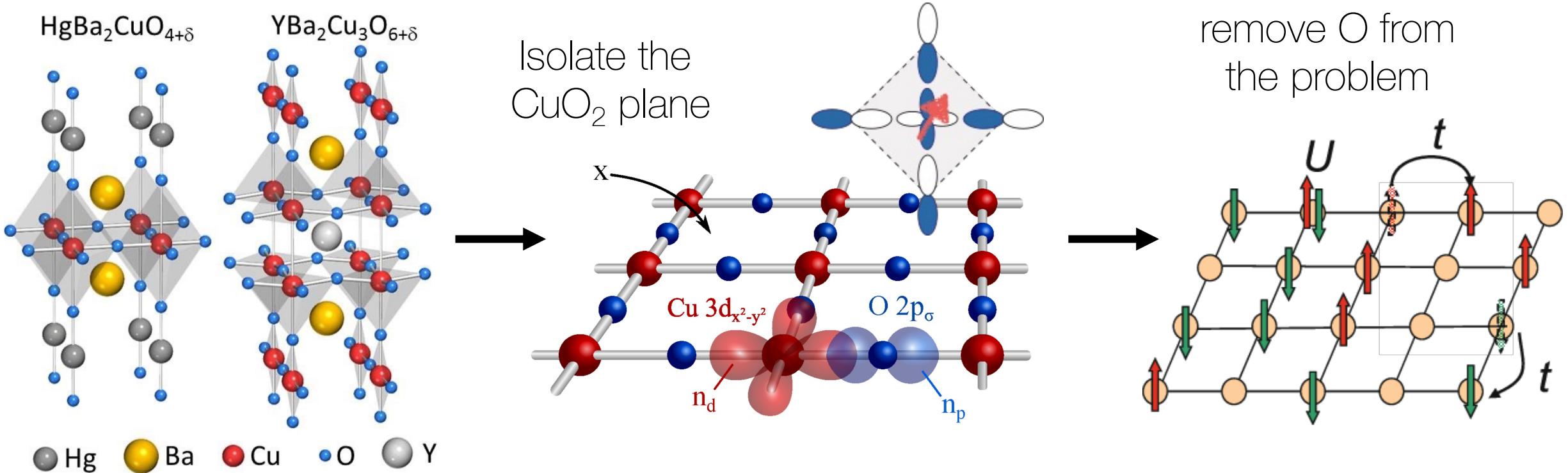


Which comes first, the spin or the charge?



*E. Huang, ... SJ, et al., arXiv:2202.08845 (2022).

From the real material to the Hubbard model



$$H = - \sum_{\alpha=1}^{N_a} \frac{\hbar^2 \nabla_{\alpha}^2}{2M_{\alpha}} + \frac{1}{2} \sum_{\alpha \neq \alpha'} \frac{Z_{\alpha} Z_{\alpha'} e^2}{|\mathbf{R}_{\alpha} - \mathbf{R}_{\alpha'}|}$$

$$- \sum_{\mu=1}^{N_e} \frac{\hbar^2 \nabla_{\mu}^2}{2m} + \frac{1}{2} \sum_{\mu \neq \mu'} \frac{e^2}{|\mathbf{r}_{\mu} - \mathbf{r}_{\mu'}|} - \sum_{\mu, \alpha} \frac{Z_{\alpha} e^2}{|\mathbf{R}_{\alpha} - \mathbf{r}_{\mu}|}$$



$$H = - \sum_{\mathbf{i}, \mathbf{j}, \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.}) - \mu \sum_{\mathbf{i}, \sigma} n_{i\sigma} + U \sum_{\mathbf{i}} n_{i\uparrow} n_{i\downarrow}.$$

Images from Reichardt *et al.*, Condens. Matter 3, 23 (2018).

Artificial intelligence and data science enabled predictive modeling of collective phenomena in strongly correlated quantum materials

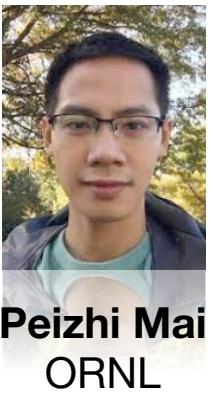
Steve Johnston (PI, UTK); Co-PIs: C. Batista, A. Del Maestro, J. Liu, A. Tennant (UTK); R. Scalettar (UC Davis); E. Khatami (SJSU); M. Dean (BNL); T. Maier, (ORNL); Kipon Barros, Ying Wai Li (LANL)



Acknowledgements



**Thomas
Maier**
ORNL



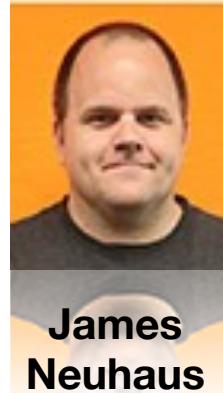
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**Andy
Tanjaroon Ly**
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**James
Neuhaus**
UTK



**Shaozhi
Li**
UTK
(now ORNL)



**Giovanni
Balduzzi**
ETH Zürich
(now industry)

References:

1. P. Mai *et al.*, PNAS **119**, e2112806119 (2022).
2. S. Karakuzu *et al.*, arXiv:2205.15464 (2022).
3. P. Mai *et al.*, in preparation (2022).

This work was supported by the Scientific Discovery through Advanced Computing (SciDAC) program funded by U.S. Department of Energy, Office of Science, Advanced Scientific Computing Research and Basic Energy Sciences, Division of Materials Sciences and Engineering, and by the U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences, under Award Number DE-SC0022311.

Acknowledgements



Ben Cohen-Stead



Jinu Thomas



Andy Tanjaroon Ly



James Neuhaus



Debshikha Banerjee



Sohan Malkaruge Costa



Peizhi Mai
(UIUC)



Seher Karakuzu
(Flatiron)



Yan Wang
(ORNL)



Shaozhi Li
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Phil Dee
(now UF)



Umesh Kumar
(now Rutgers)



Ken Nakatsuksa
(Industry)



Trevor Keen
(Industry)



Gus Alvertz-Succhi
(Industry)

+ **Kevin Kleiner** (UIUC),
Isaac Ownby (UTK),
Aaron Kirby



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